

# Semiconductor Device Processing (반도체 소자 공정 및 실습)

## Lecture 2. Overview

**Young Min Song**

Assistant Professor

School of Electrical Engineering and Computer Science

Gwangju Institute of Science and Technology

<http://www.gist-foel.net>

[ymsong@gist.ac.kr](mailto:ymsong@gist.ac.kr), [ymsong81@gmail.com](mailto:ymsong81@gmail.com)

A207, ☎2655

# 'Real' Schedule

Weekly Course Schedule		
Calendar	Description	*Remarks
1st week	Introduction/Semiconductor Process Overview	Lectures
2nd week	Semiconductor Process Overview	Lectures
3rd week	Growth of compound semiconductors – MBE & MOCVD	Presentations
4th week	Photolithography / Nanolithography	Presentations
5th week	PECVD / Oxidation	Presentations
6th week	Dry etching / Cleaning & Wet etching	Presentations
7th week	Diffusion / Ion implantation	Presentations
8th week	Mid-term Week	No midterm
9th week	Metallization (Ohmic Contacts) / TLM measurement	Presentations
10th week	<div> <div>Fabrication &amp; Measurement of TLM patterns</div> </div>	Experiments
11th week		Experiments
12th week		Experiments
13th week		Experiments
14th week		Experiments
15th week		Experiments
16th week	Final Exam & Final Report	

# Detailed Schedule

MARCH 2017						
SUN	MON	TUE	WED	THU	FRI	SAT
			1	Lect. 2	3	4
5	6	Lect. 7	8	Lect. 9	10	11
12	13	Lect. 14	15	P1 16	17	18
19	X (Mil. Service)		22	P2 23	24	25
26	27	P3 28	29	P4 30	31	

www.free-printable-calendar.com

2017 MAY						
SUN	MON	TUE	WED	THU	FRI	SAT
	1	2 P11	3	4 P12	5	6
7	8	9	10 Experiments	11	12	13
14	15	16	17 Experiments	18	19	20
21	22	23	24 Experiments	25	26	27
28	29	30 Experiments	31			

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2017 APRIL						
SUN	MON	TUE	WED	THU	FRI	SAT
						1
2	3	4 P5	5	6 P6	7	8
9	10	11 P7	12	13 P8	14	15
16	17	18 X	19	20 X	21	22
23	24	25 P9	26	27 P10	28	29
30						

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2017 JUNE						
SUN	MON	TUE	WED	THU	FRI	SAT
				1	2	3
4	5	6	7 Experiments	8	9	10
11	12	13	14 Final Exam.	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

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# Assessment and grading

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**Attendance (5%)**

**Presentations and pre-reports (30%)**

→ 15 min **presentation**

→ **Pre-report** for 6 presentation topics (select one topic per week, ~3 pages)

**Final report (30%)**

**Final Exam (35%)**

# Presentation Subjects

1

1. **Molecular Beam Epitaxy (MBE)** 송한성, 차수형

2. **Metal-Organic Chemical Vapor Deposition (MOCVD)** 이길주, 김현명

2

3. **Photolithography** 김현영, 노혜빈

4. **Nanolithography** 유영진, 김무겸, 임지석

3

5. **PECVD** 박정환, 문승현

6. **Oxidation** 김경필, 이제성

4

7. **Dry etching** 이혜지, 최병휘

8. **Cleaning / Wet etching** 황진하, 김기영

5

9. **Diffusion** 민경민, 이선규

10. **Ion implantation** 이종헌, 석병탁

6

11. **Metallization** 김상민, 정준호

12. **Transmission Line Measurement (TLM)** 장건태, 장재형

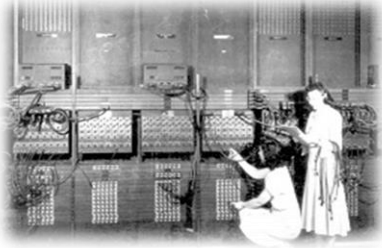
# Contents

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1. **Semiconductors** : introduction and history
2. **Heterostructures** : basic conception, history, materials, technologies
3. Device overview 1: LEDs
4. Device overview 2: Bio-integrated & Bio-inspired electronics

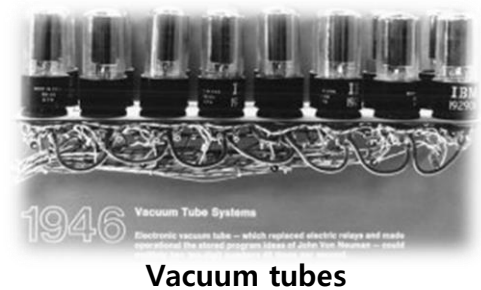
# The semiconductor revolution

1940s



## 1st electronic general-purpose computer "Eniac"

- **Eniac** contains 17,468 vacuum tubes, weighed more than 30 tons, consumed 150 kW of electricity
- It could multiply two ten-digit numbers 40 times per second



Vacuum tubes

## The fundamental building block of modern electronic device, "Transistor"

1947



1950s



- November 17, 1947 to December 23, 1947, John Bardeen and Walter Brattain at AT&T's Bell Labs in the US performed experiments. And solid-state group leader William Shockley saw the potential in this → **Transistor**
- Small size, low electric consumption, and **10 times faster than Eniac**

# The semiconductor revolution

## The Nobel Prize in Physics 1956

“for their researches on semiconductors and their discovery of the transistor effect”



William Bradford  
**Shockley**  
1910–1989



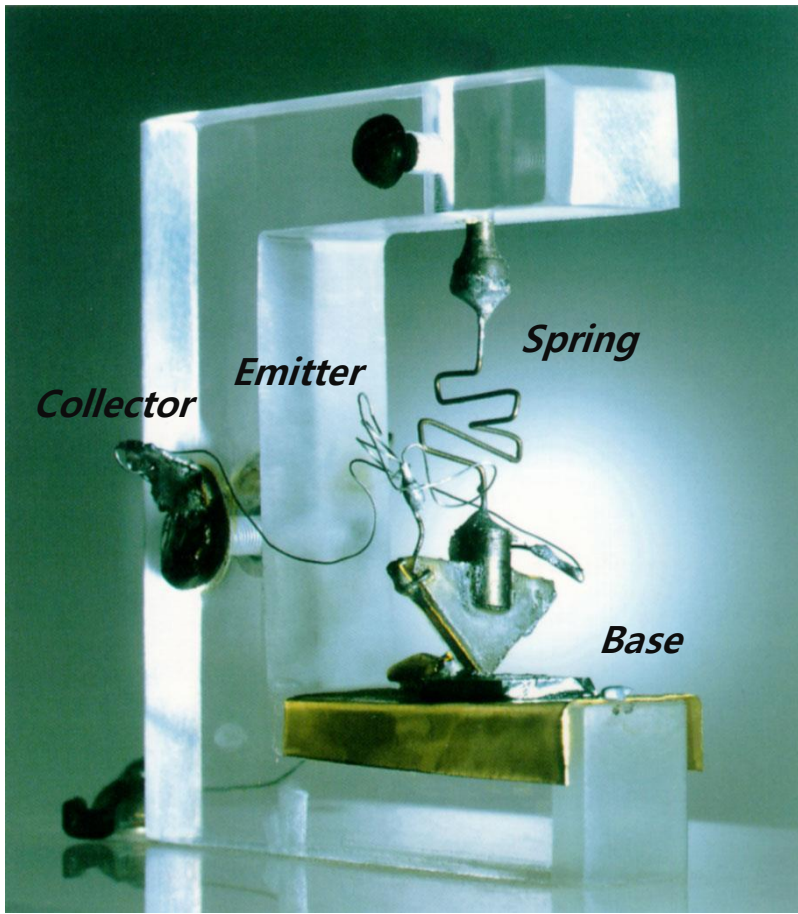
John  
**Bardeen**  
1908–1991



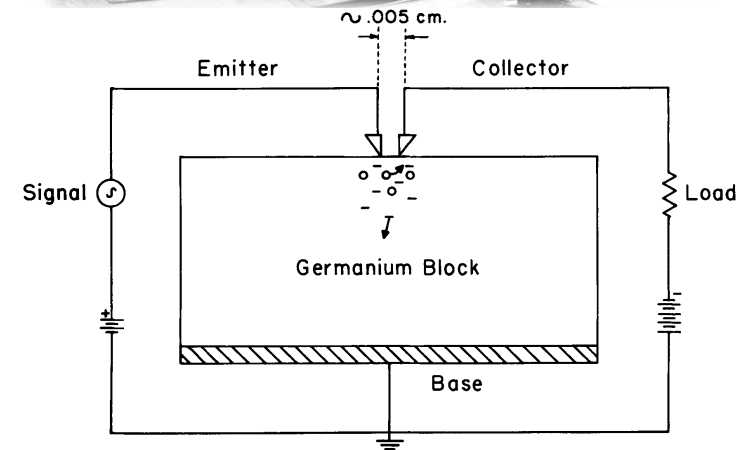
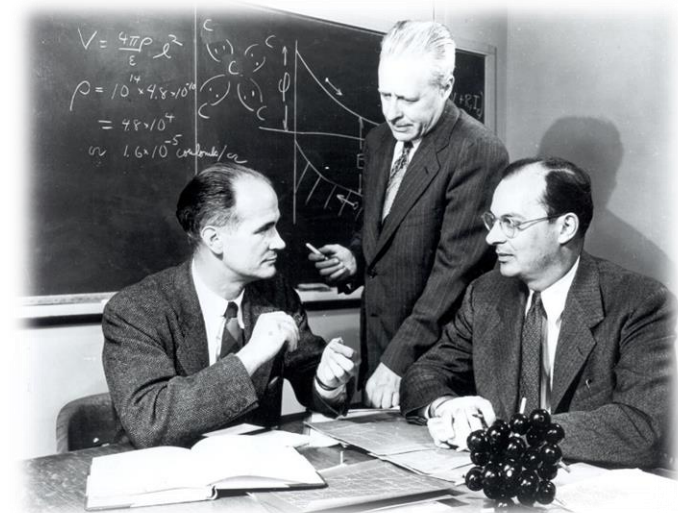
Walter Houser  
**Brattain**  
1902–1987



# The semiconductor revolution



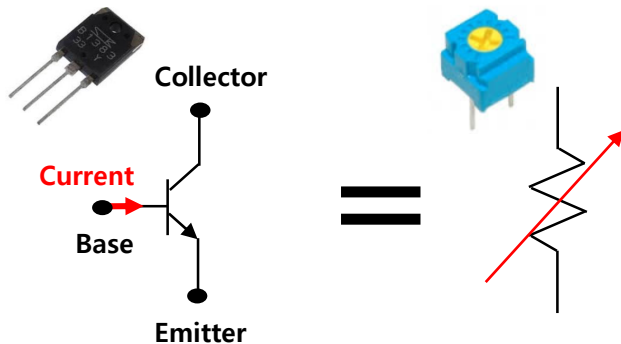
Bell telephone lab. Dec. 23, 1947



- Schematic plot of the first **"point-contact"** transistor

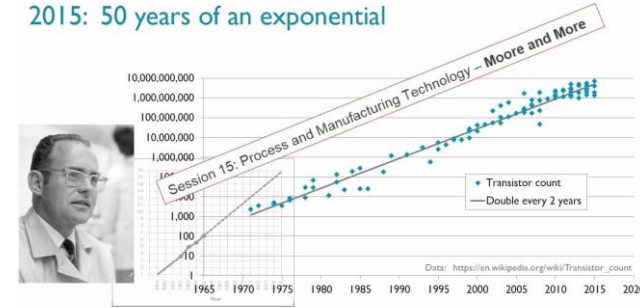
# The semiconductor revolution

- Transfer resistor → **Transistor**
- A small current at the base terminal can control or switch a much larger current between the collector and emitter terminals.



The Process Unit chip (PU chip) measures 678 mm<sup>2</sup> and consists of **3.99 billion transistors**, IBM, 2015.

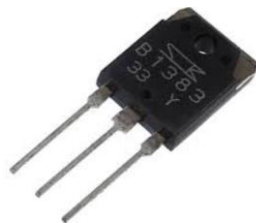
2015: 50 years of an exponential



- Transistor output is **5,700 times** larger than the wheat production by year

Transistor output in year : 10,000,000,000,000,000,000  
= **2,700,000,000,000,000** / day

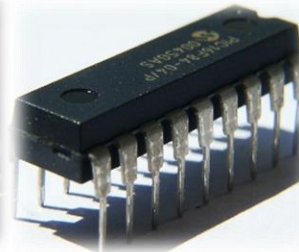
Wheat production by year: 703,000,000 t  
= **480,000,000,000** / day



# The semiconductor revolution

1959

## Development of semiconductor industry "MOSFET"

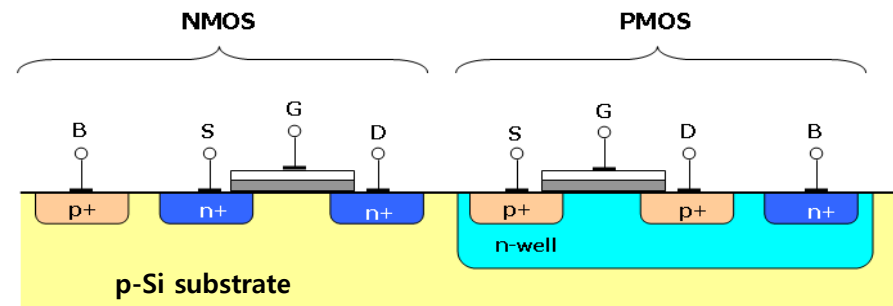
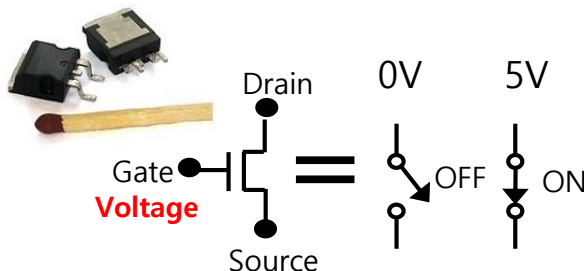


- In 1959, Dawon Jahng and Martin M. Atalla at Bell Labs invented the MOSFET
- **Integration** of **transistors** at silicon substrate → **circuit dimensions are reduced**

1960s



- **Role of turned-off switch** by Gate **voltage**



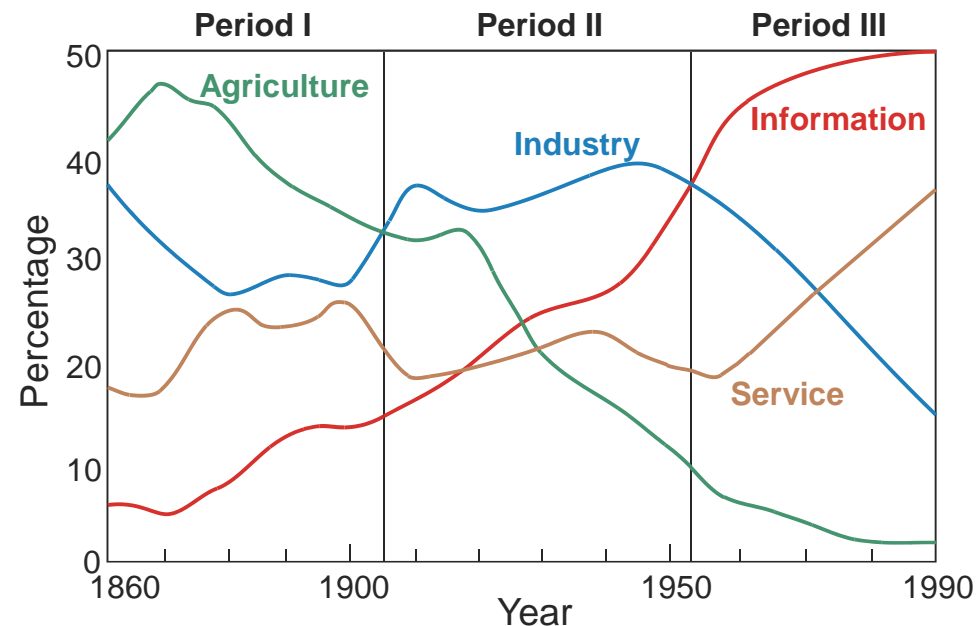
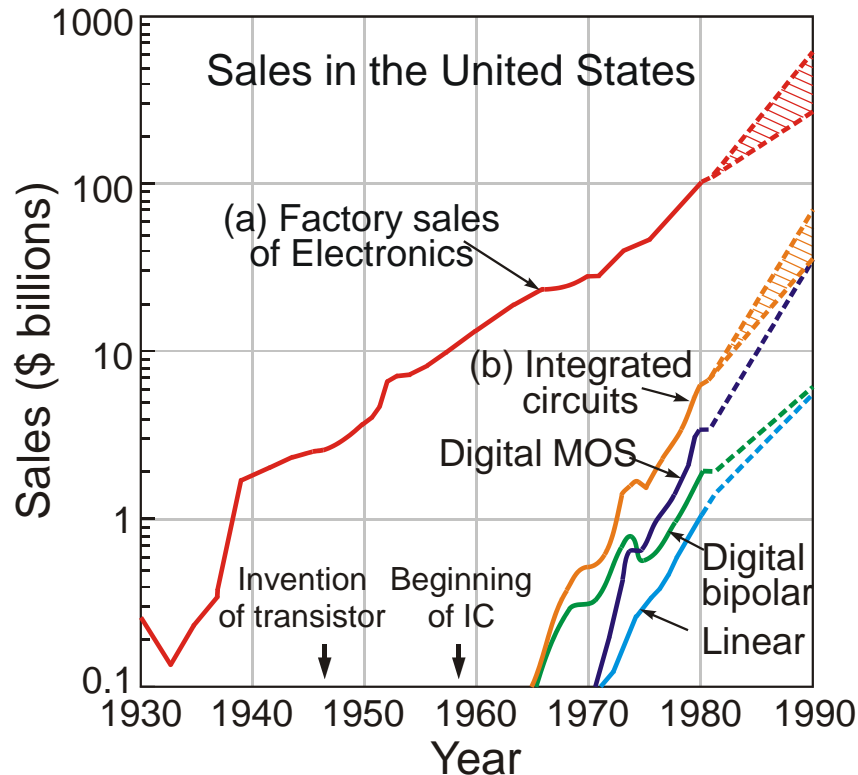
**NMOS + PMOS (Complimentary MOSFET)**

→ Method for large scale integration in the circuit

# The semiconductor revolution

S. M. Sze, *J. Appl. Phys.* Vol. 22, 1983

## Factory sales of Electronics and IC

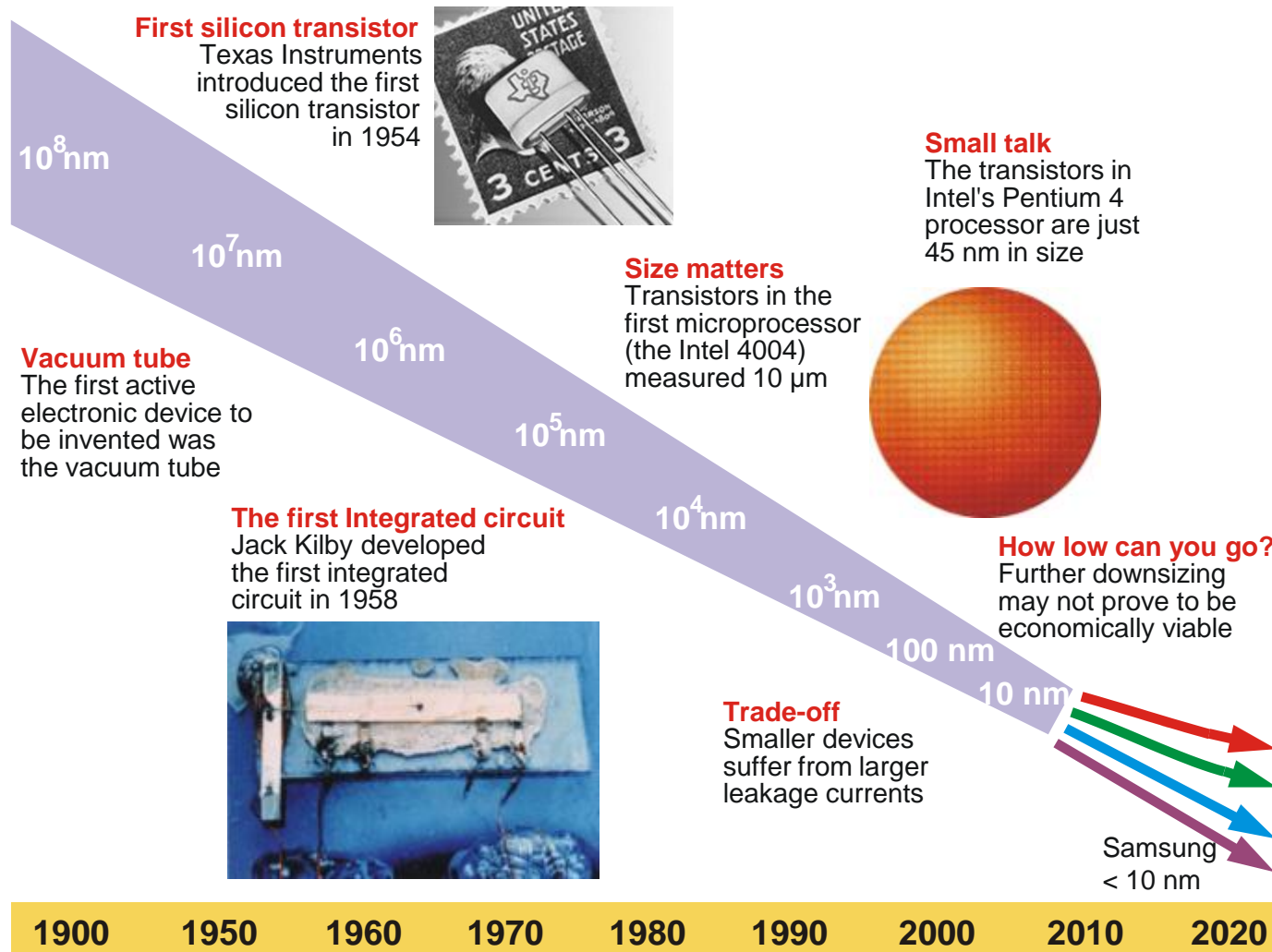


- Factory sales of Electronics in the United States over the past 50 years and projected to 1990
- Integrated circuit market in the United States

# The semiconductor revolution

H. Iwai, H. wang, *Phys. World* Vol. 18, 09, 2005

## Moore's law I : device downsizing

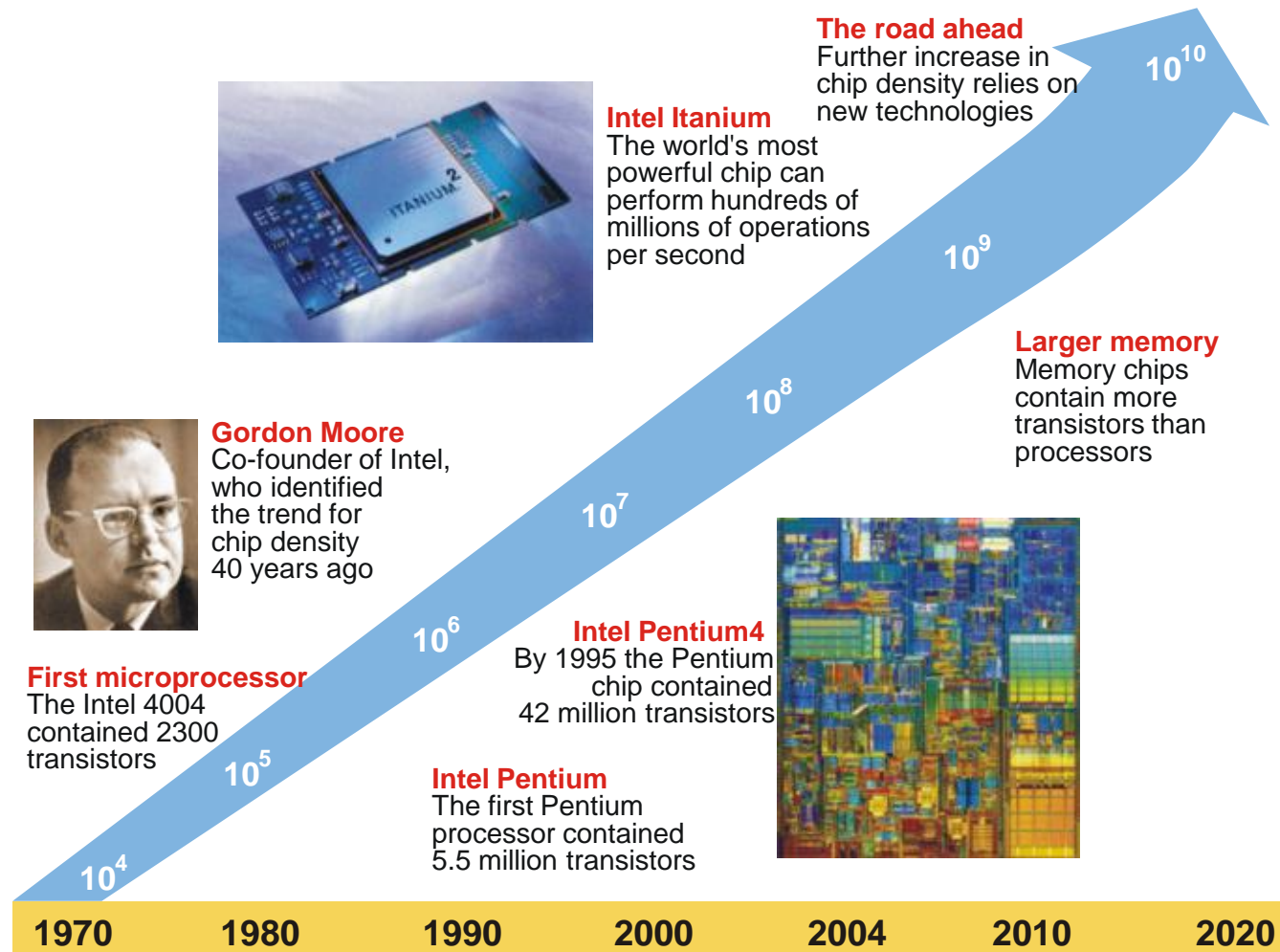




# The semiconductor revolution

H. Iwai, H. wang, *Phys. World* Vol. 18, 09, 2005

## Moore's law II : chip density



# The semiconductor revolution

## The Rise of Information and Communication Technology by "Light"

1963

Development of  
Compound semiconductor laser



Alferov Kroemer  
(The Nobel prize in physics, 2000)

1966

Invention of optical fiber



Charles Kun Kao  
(The Nobel prize in physics, 2009)

1976

Development of 1.3  $\mu\text{m}$  laser,  
fiber loss (1dB/km)

1979

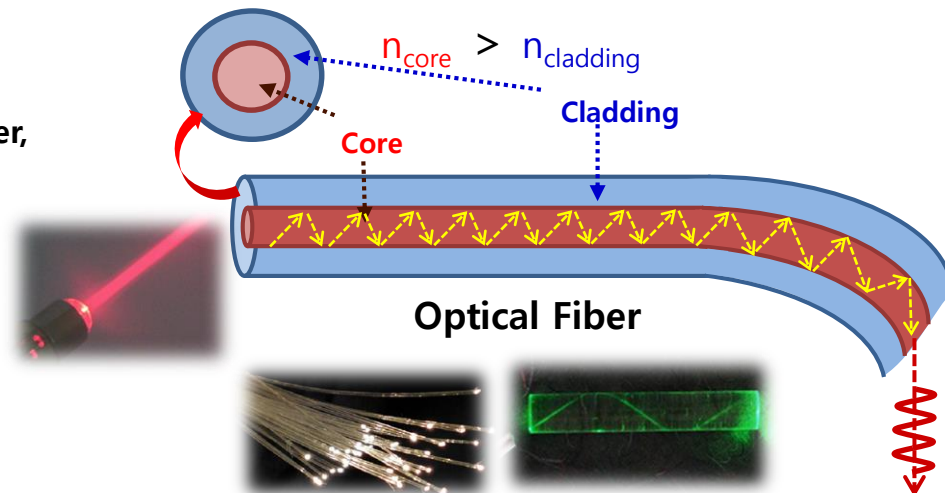
Development of 1.55  $\mu\text{m}$  laser,  
fiber loss (0.2dB/km)

1980

Commercilization of  
optical communication

2010s

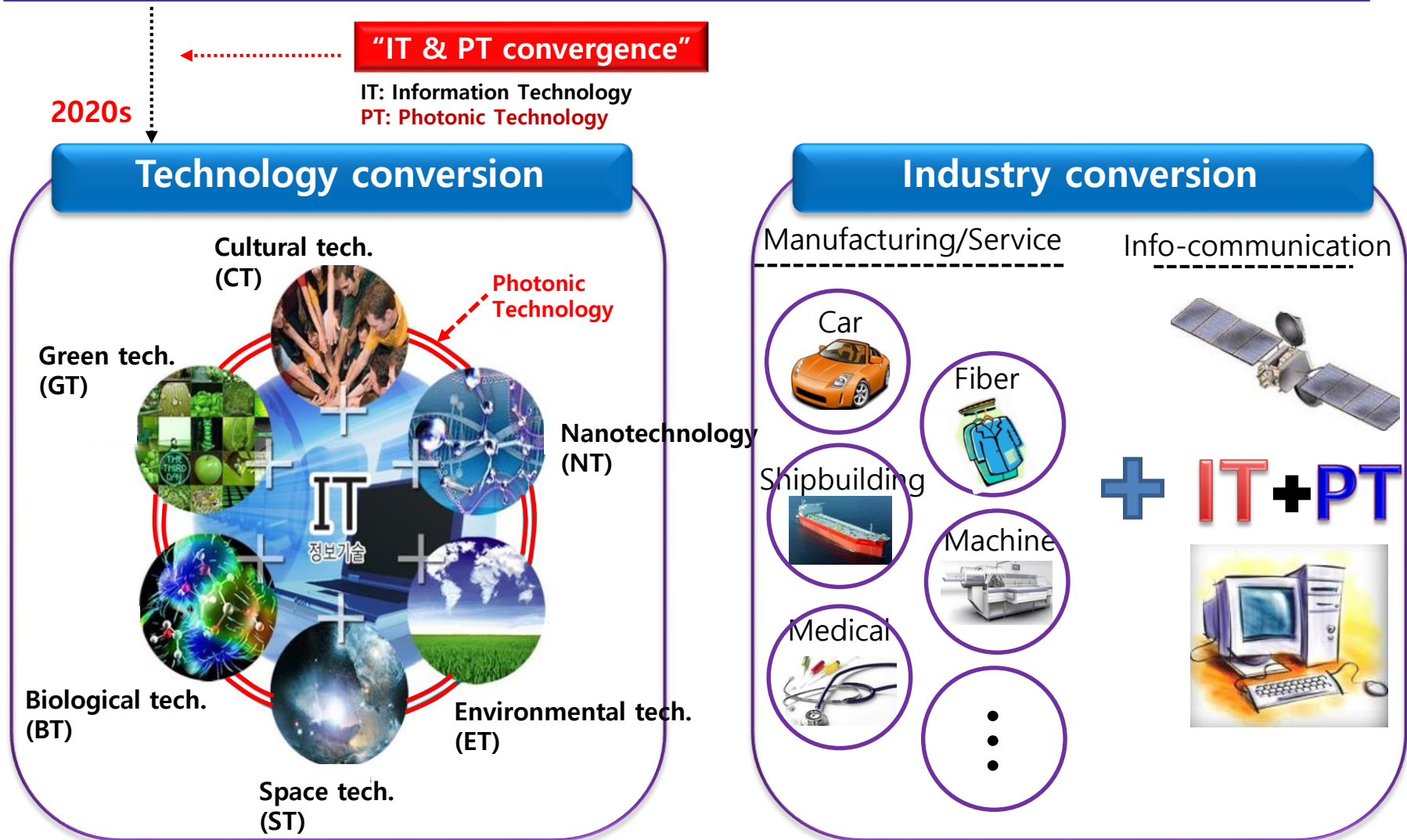
Commercilization of  
optical internet



- An **optical fiber** is a cylindrical dielectric waveguide that transmits light along its axis, by the process of **total internal reflection**.



# The semiconductor revolution





# Before heterostructure : quantum electronics

## The Nobel Prize in Physics 1964

"for fundamental work in the field of quantum electronics, which has led to the construction of oscillators and amplifiers based on the maser-laser principle"



Charles Hard **Townes**  
b. 1915



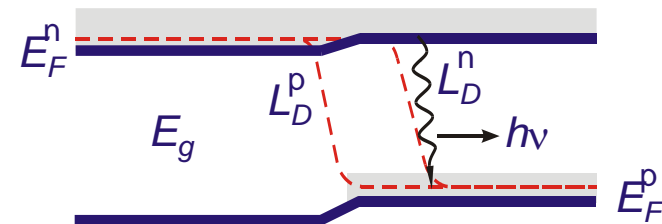
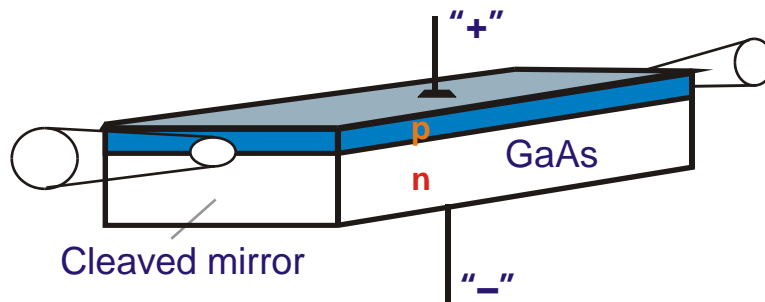
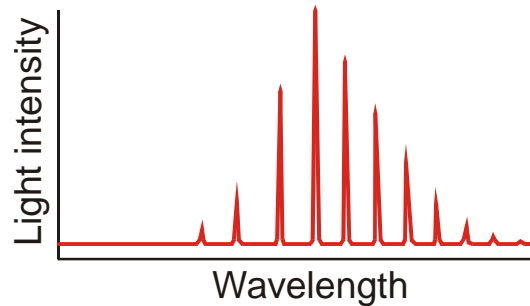
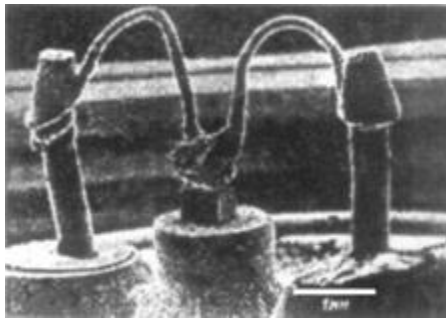
Nicolay **Basov**  
1922-2001



Aleksandr **Prokhorov**  
1916-2002

# Before heterostructure : quantum electronics

- **January 1962:** observations of **superlumescences** in GaAs p-n junctions (Ioffe Institute, USSR).
- **Sept.-Dec. 1962:** **laser action** in GaAs and GaAsP p-n junctions (General Electric, IBM (USA); Lebedev Institute (USSR)).



Condition of optical gain:

$$E_F^n - E_F^p > E_g$$

# The impact of heterostructures

Z. Alferov, "Semiconductor Revolution in the 20th Century

## 1. **Heterostructure** – a new kind of semiconductor materials:

- Expensive, complicated chemically & technologically but most efficient

## 2. **Modern optoelectronics is based on heterostructure applications:**

- **Double heterostructure (DHS) laser** – key device of the modern optoelectronics
- **Heterostructure (HS) PD** – the most efficient & high speed photo diode
- **Optoelectronics integrated circuit (OEIC)** – only solve problem of high information density of optical communication system

## 3. **Future high speed microelectronics will mostly use heterostructures**

## 4. **High temperature, high speed power electronics**

- a new broad field of heterostructure applications

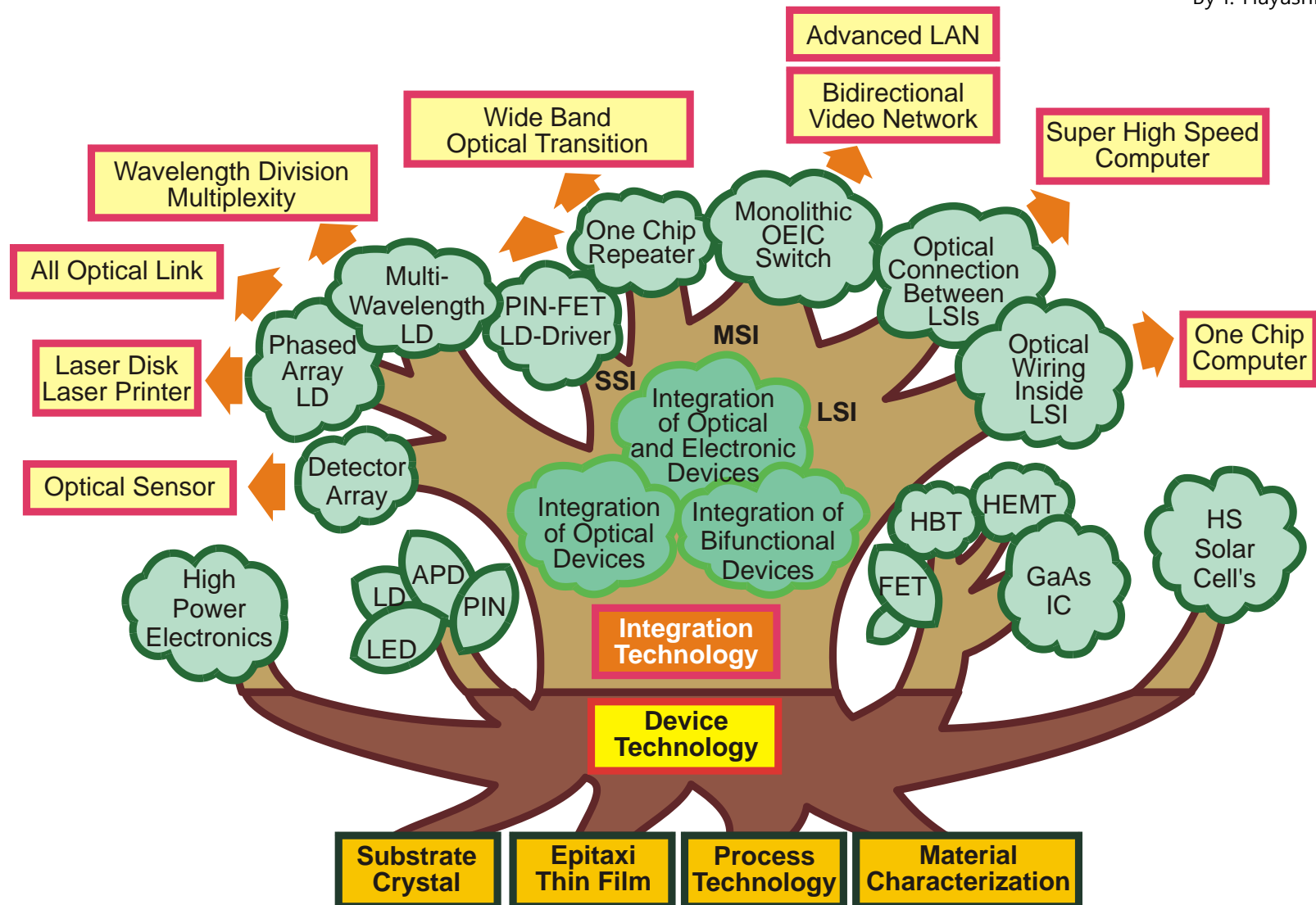
## 5. **Heterostructures in solar energy conversion:**

- The most expensive photocells and the cheapest solar electricity producer

## 6. **In the 21st century heterostructures in electronics will reserve only 1% for homojunctions**

# Heterostructures Tree

By I. Hayashi, 1985

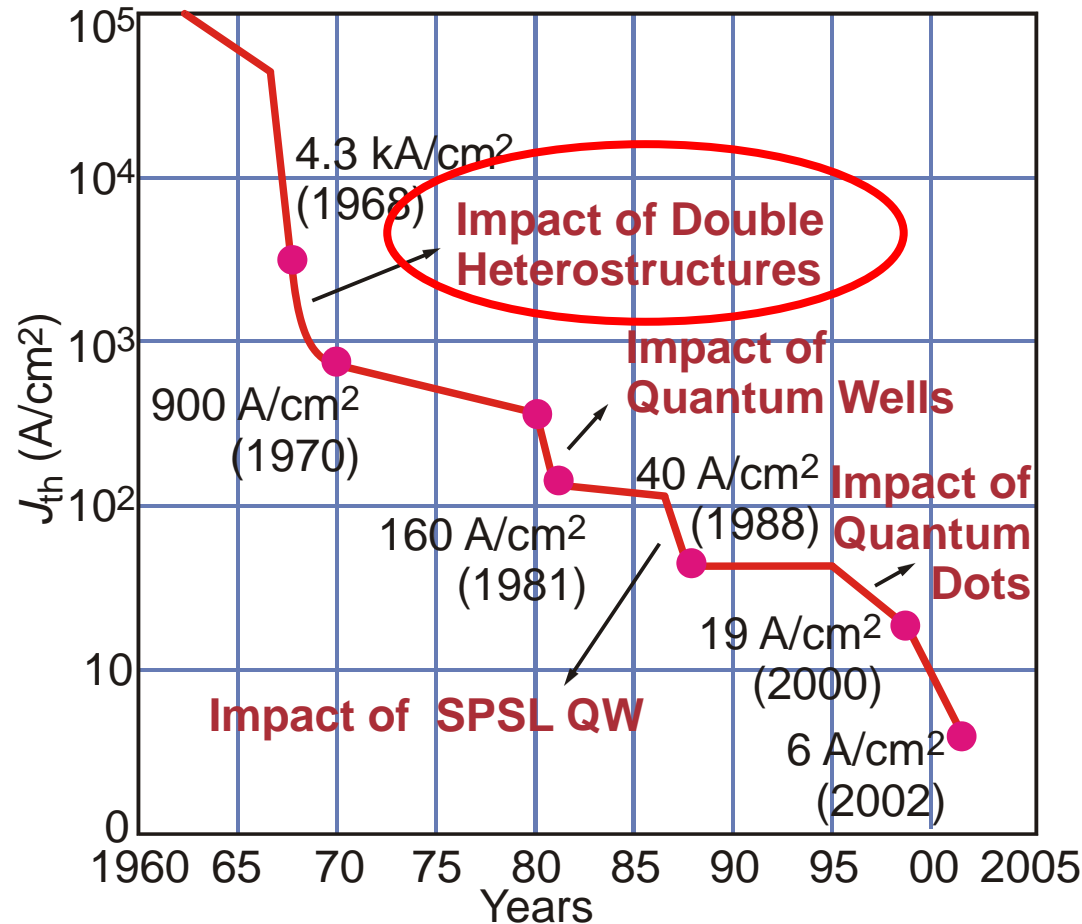


# Heterostructures : history and progress

Year	Progress	Contributor
1963	Conception of double heterostructure lasers : double injection, confinement	Alferov, Kazarinov, Kroemer
1966 1967	<b>GaAsP</b> -lattice mismatched DH LDs, 77k <b>AlGaAs</b> -lattice matched heterostructures	Alferov et al. Rupprecht et al.
1969	<b>AlGaAs</b> -DH LD: $J_{thr}=4300 \text{ A/cm}^2$ , RT, pulse, 770 nm, LED, transistor, solar cell elements	Alferov et al.
1970	<b>AlGaAs</b> LDs, CW, RT, $J_{thr}=940 \text{ A/cm}^2$ <b>InGaAsP</b> : from IR to visible	Alferov et al., Hayashi, Panish, Alferov et al., Antipas et al.
1974	Quantum size effect in <b>GaAs/AlGaAs</b> (multi) graded structure	Dingle et al., Esaki, Chang, Tsu et al.
1975	First <b>AlGaAs/GaAs</b> MQW optically pumped laser, $T=15\text{k}$ , $h\nu=1.53 \text{ eV}$	Van der Ziel, Dingle et al.
1978	<b>AlGaAs/GaAs</b> LD, RT, <b>QUANTUM WELL (QW)</b> $J_{thr}=3 \times 10^3 \text{ A/cm}^2$ , $\lambda=800 - 840 \text{ nm}$	Dupuis, Dapkus, Holonyak et al.
1980	QW heterostructures: transistors, Quantum Hall effect	Mimura et al., Klitzing et al.
1982	<b>AlGaAs/GaAs</b> GRINSH, $J_{thr}=160 \text{ A/cm}^2$	Tsang et al.
1983	<b>GaAs/InGaAs</b> strained LD, RT, CW	Holonyak et al.
1996 1997	<b>InGaAs/GaAs</b> QDs LDs, RT, CW $J_{thr}=97 \text{ A/cm}^2$ , $P=160 \text{ mW}$ , $h\nu=1.3 \text{ eV}$	Bimberg, Park, Alferov et al.
2000	<b>InGaAs/GaAs</b> QD transverse VCSEL, $\lambda=1.3 \mu\text{m}$ , $J<100 \text{ A/cm}^2$ , $P=2.7 \text{ W}$	Ustinov et al.
1994 2000	Quantum engineering Quantum cascade lasers: $\lambda=4 - 11 \mu\text{m}$ , $T=320\text{k}$	Faist, Capasso, Sirtory, Cho
2000	<b>Nobel Prize</b> → <b>"For developing semiconductor Heterostructures used in high-speed- and opto-electronics"</b>	Zhores I. Alferov, Herbert Kroemer

# Heterostructures : history and progress

## Milestones of semiconductor lasers



- Evolution and revolutionary changes
- Reduction of dimensionality results in improvements

# Heterostructures : history and progress

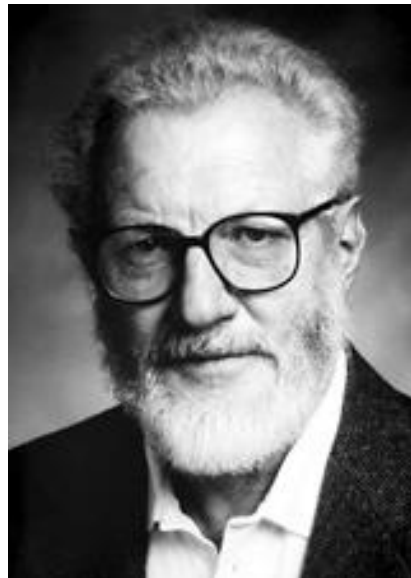
## The Nobel Prize in Physics 2000

"for basic work on information and communication technology"

"for developing semiconductor heterostructures used in **high-speed- and opto-electronics**"



Zhores I. **Alferov**  
b. 1930



Herbert **Kroemer**  
b. 1928



Jack S. **Kilby**  
1923–2005

"for his part in the invention of the integrated circuit"



# Heterostructures : history and progress

## THE NOBEL PRIZE IN PHYSICS

Speech by Professor Tord Claeson of the Royal Swedish Academy of Sciences.

... IT is viewed as a prime mover in the economic upswing our society has experienced over the past decade.

This year's Nobel Prize in Physics rewards contributions to the early developments of microelectronics and photonics, focusing on the integrated circuit, or "chip," as well as semiconductor heterostructures for lasers and high-speed transistors. ...

Semiconductor heterostructures can be regarded as laboratories of two-dimensional electron gases. The 1985 and 1998 Nobel Prizes in Physics for quantum Hall effects were based on such confined geometries. They can be reduced further to form one-dimensional quantum channels and zero-dimensional quantum dots for future studies. ...



# Some basic definitions of heterostructures

## ❖ Heterostructures:

- Crystal consisted of **one** or **more junctions** between **different semiconductors with different  $E_g$** , lattice constants, layer thickness

## ❖ Design:

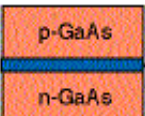
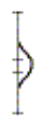




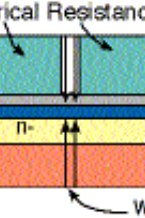
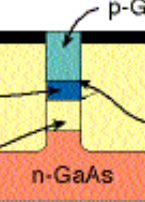
- Substrate + a sequence of thin layers

## ❖ Potential well:

- Active layer  $E_g^a < E_g^c$  of claddings (barriers)
- $L_x^a \ll L_y, L_z$  and  $L_x^a \gg \lambda_B$  and  $a_B$   
( $\lambda_B$  is the de Broglie wavelength of the carriers,  $a_B$  is the Bohr exciton radius)

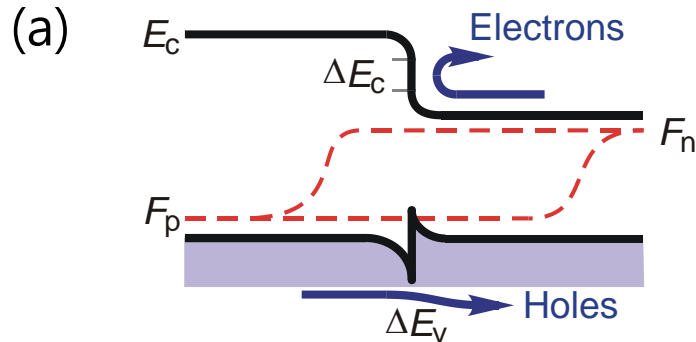
## ❖ Quantum well:

- $L_x^a \ll L_y, L_z$  and  $L_x^a \sim \lambda_B$  and  $a_B$
- The carrier movement in the x direction is **quantized**.
- The carrier energy becomes definite **discrete**.
- Optical and carrier confinement due to  $\Delta E_g$  and  $\Delta n_r$

Laser Type	Laser Structure	Radiation Confinement
Homojunction	 <p>Active Region p-GaAs</p>	 <p>A little Confinement in paper plane</p>
Single Heterojunction	 <p>Active Region p-GaAs</p>	 <p>Good Confinement in one side in perpendicular plane (paper)</p>
Double Heterojunction	 <p>Active Region GaAs</p>	 <p>Good Confinement in both sides in perpendicular plane (paper)</p>
Gain-Guided Stripe	 <p>High Electrical Resistance Material</p> <p>Active Region n or p-GaAs</p> <p>Wrent</p>	
Buried Heterojunction (Index-Guided Stripe Geometry)	 <p>Oxide</p> <p>Active Region n-GaAs</p> <p>Heterojunction</p>	<p>Good Radiation Confinement in both Horizontal and Perpendicular Planes</p>

# Classical heterostructures

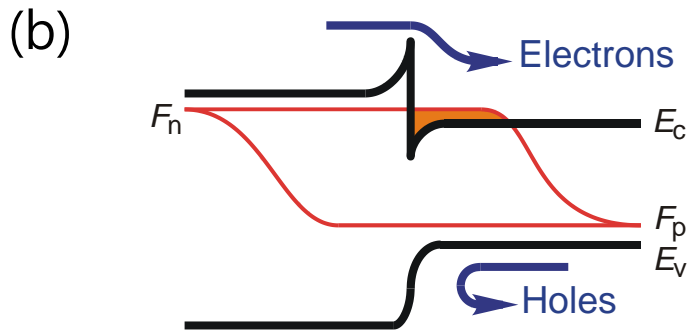
## Fundamental physical phenomena in classical heterostructures



### One-side Injection

**Proposal — 1948** (W. Shockley)

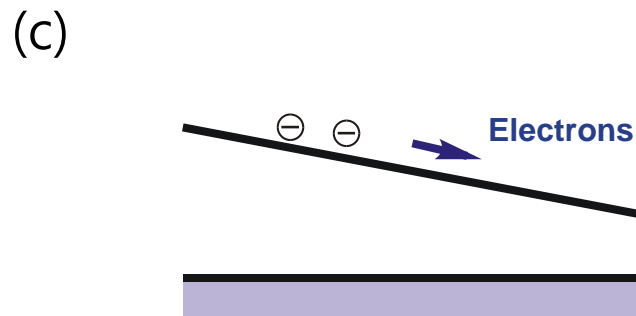
**Experiment — 1965** (Zh. Alferov *et al.*)



### Superinjection

**Theory — 1966** (Zh. Alferov *et al.*)

**Experiment — 1968** (Zh. Alferov *et al.*)



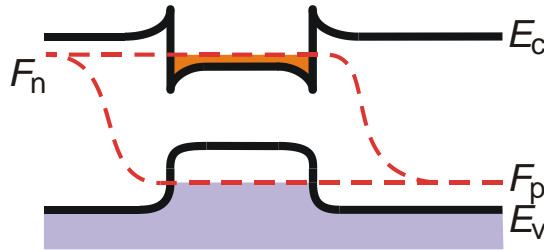
### Diffusion in built-in quasielectric field

**Theory — 1956** (H. Kroemer)

**Experiment — 1967** (Zh. Alferov *et al.*)

# Classical heterostructures

(d)

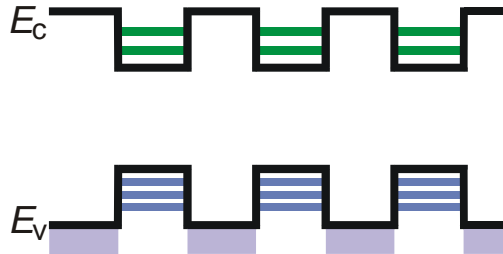


## Electrical and optical confinement

**Proposal — 1963** (Zh. Alferov *et al.*)

**Experiment — 1968** (Zh. Alferov *et al.*)

(e)



## Superlattices and quantum wells

**Theory — 1962** (L.V. Keldysh)

**First experiment — 1970** (L. Esaki *et al.*)

**Resonant tunnelling — 1963** (L.V. Ioffe)

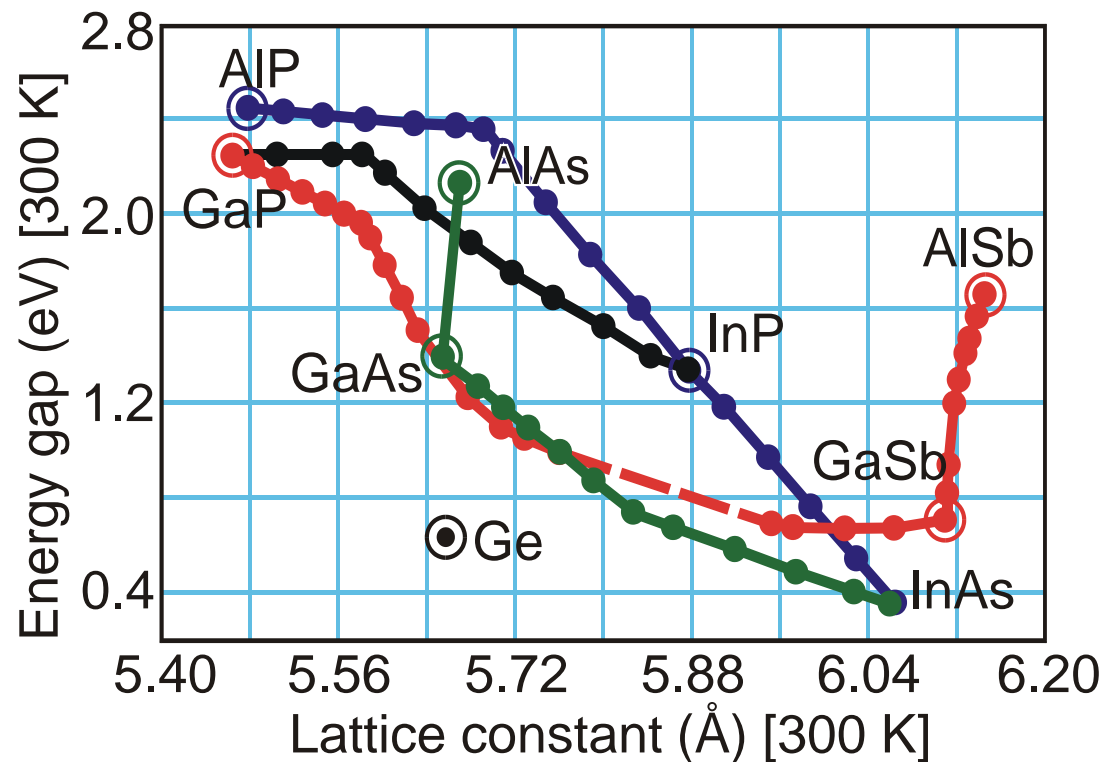
**In Quantum Wells — 1974** (L. Esaki *et al.*)

- **Lattice-matched** structures are necessary
- For lattice matching, **multicomponent solid solution** should be used
- In principle, **epitaxial growth technology** is necessary

# Heterostructures – a new kind of semiconductor materials

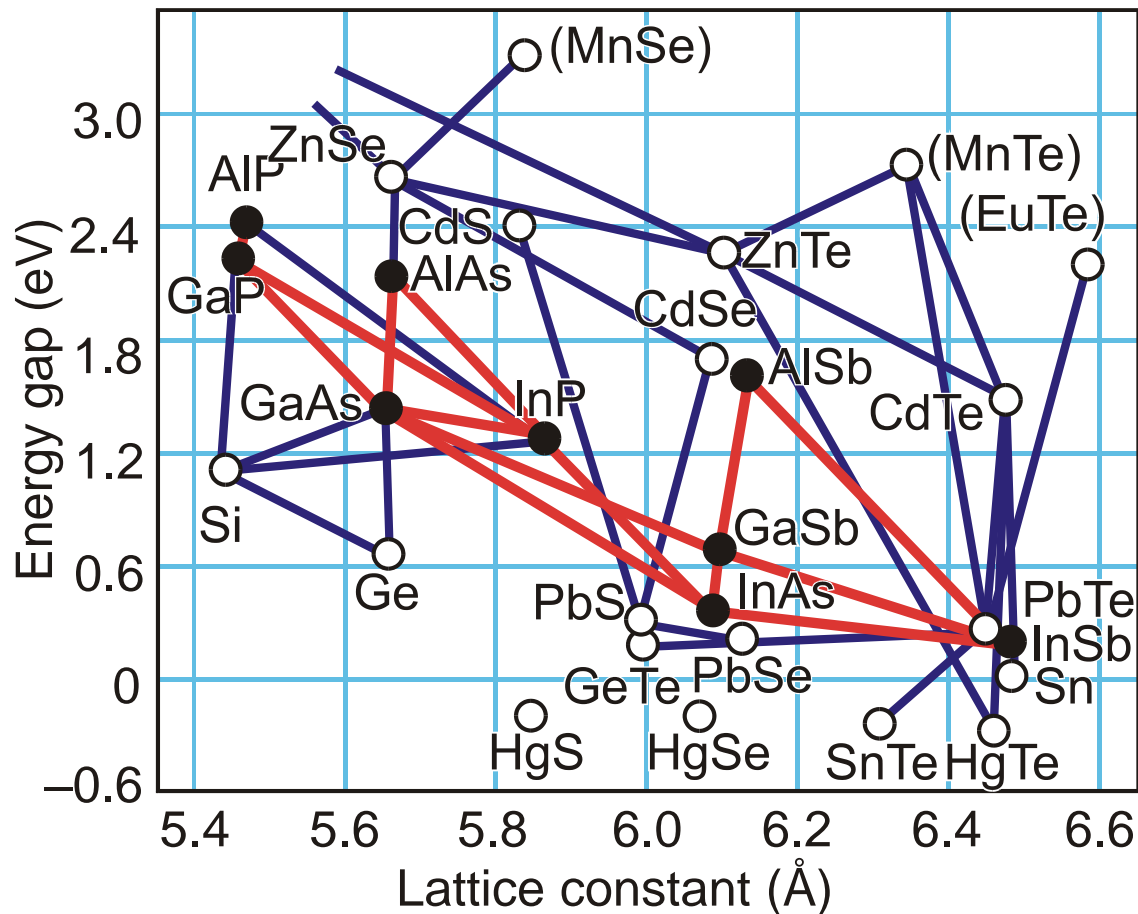
Long journey from infinite interface recombination to ideal heterojunction

## Lattice matched heterostructures



- **Ge–GaAs–1959**  
(R. L. Anderson)
- **AlGaAs–1967**  
(Zh. Alferov *et al.*,  
J. M. Woodall &  
H. S. Rupprecht)
- **Quaternary HS  
(InGaAsP & AlGaAsSb)  
Proposal–1970**  
(Zh. Alferov *et al.*)  
**First experiment–1972**  
(Antipas *et al.*)

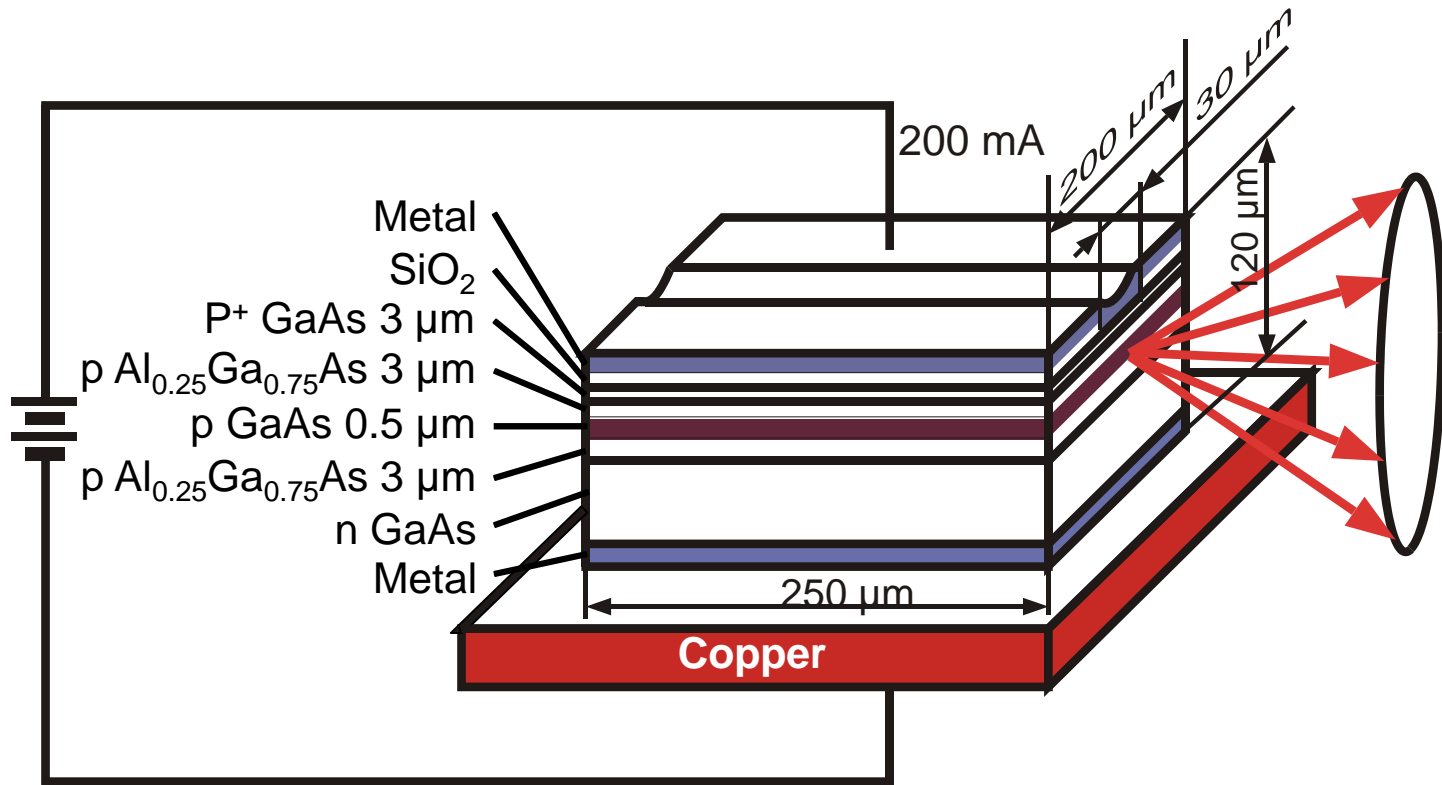
# Heterostructures – a new kind of semiconductor materials



- Energy gaps vs. lattice constants for semiconductor IV elements, III-V and II(V)-VI compounds and magnetic materials in parentheses.
- Lines connecting the semiconductors, red for III-V, and blue for others, indicate **quantum heterostructures**, that have been investigated.

# Heterostructures – DHS laser

Schematic representation of the DHS injection laser in the first CW-operation at room temperature



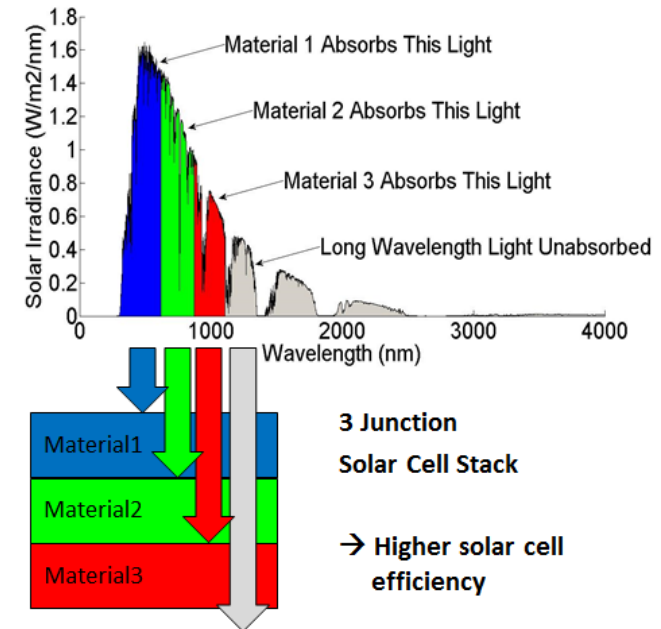
- Fundamental need for structures with **well-matched lattice parameters**
- The use of **multicomponent solid solutions** to match the lattice parameters
- Fundamental need for **epitaxial growth techniques**

# Heterostructures – space solar cell

## Heterostructure solar cells



- Space station “Mir” equipped with heterostructure solar cells

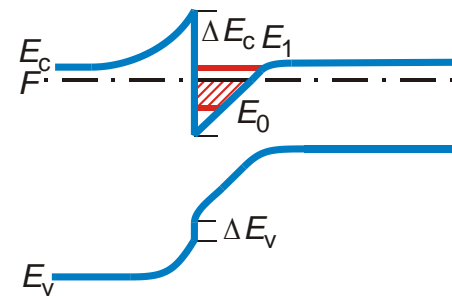
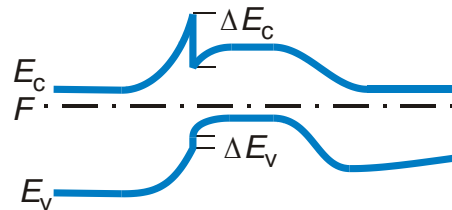


- **Multi-junction solar cell**  
Next-generation multijunction solar cells comprise multiple individual solar cells grown on top of each other  
→ they can absorb a wider range of the sun spectrum

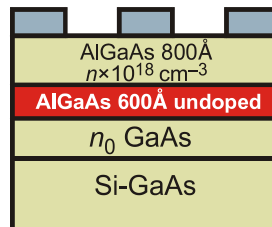


# Heterostructures – microelectronics

## Heterojunction Bipolar Transistor

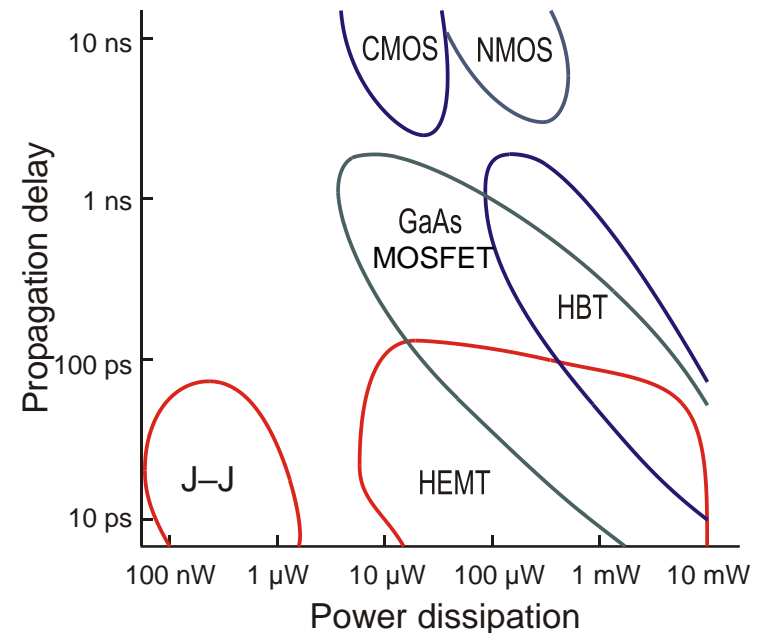


## *n* AlGaAs-*n* GaAs Heterojunction



**Suggestion—1948** (W.Shockley)  
**Theory—1957** (H.Kroemer)  
**Experiment—1972** (Zh.Alferov *et al.*)  
 AlGaAs HBT

HEMT—1980 (T.Mimura *et al.*)

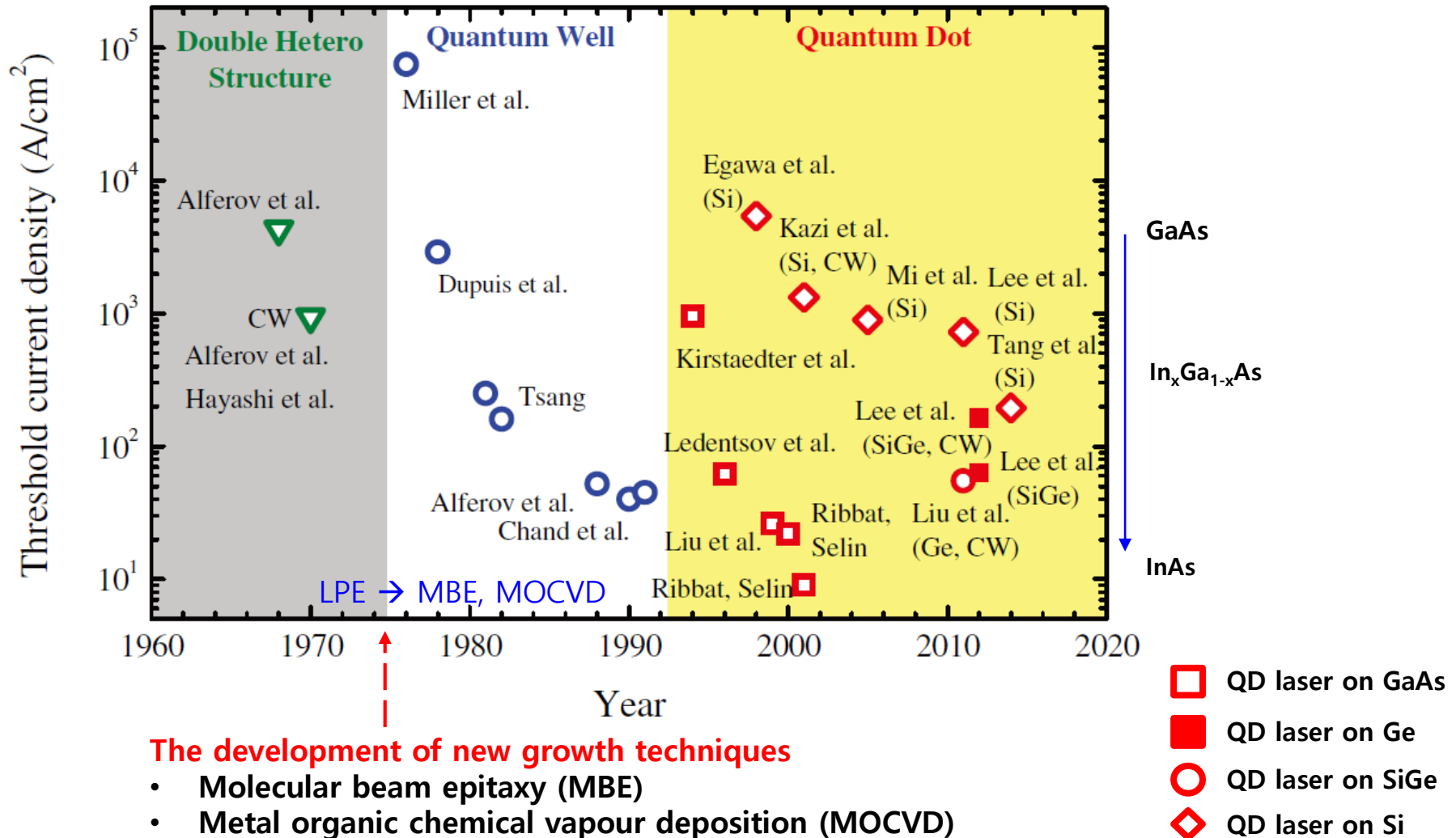


## Speed-power performances



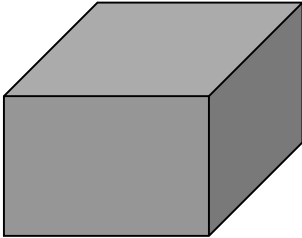
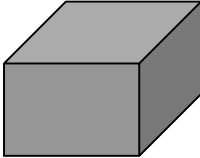

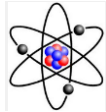
# The evolution of laser diode performance

J. Phys. D: Appl. Phys. 48 (2015) 363001



→ Enabled the crystal deposition to be controlled on an atomic scale

# Quantum confined structures

1 cm	1 $\mu\text{m}$	10 nm	1 $\text{\AA}$
			
Macroscopic	Wavelength of light	de Broglie wavelength	Lattice constant
<b>Volume semiconductor</b>	<b>Waveguide</b>	<b>Quantum size</b>	<b>Atom</b>

## de Broglie wavelength



$$E = h\nu$$

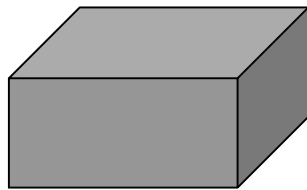
$$mv^2 = h \frac{v}{\lambda}$$

$$\lambda = \frac{h}{mv} = \frac{h}{p}$$

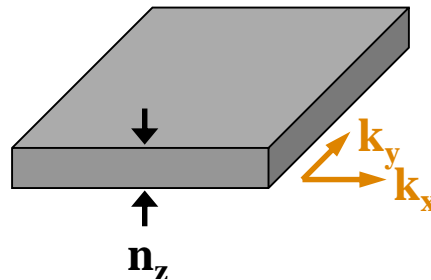
- *Size quantization effects* can be already pronounced at a thickness ten to a hundred times larger than the lattice constant.
- An electron in GaAs,  $\lambda \sim 24 \text{ nm}$ , this implies that we need structures of **thickness  $\sim 10 \text{ nm}$**  in order to observe quantum-confinement effects.

# Quantum confined structures

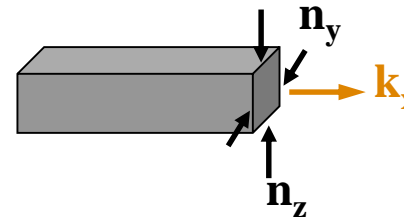
Bulk



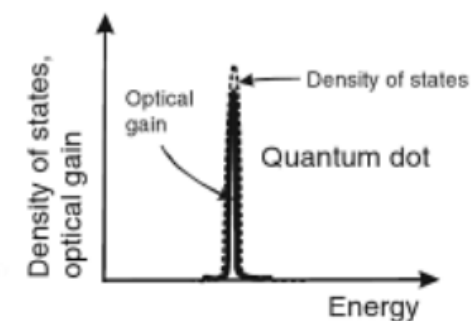
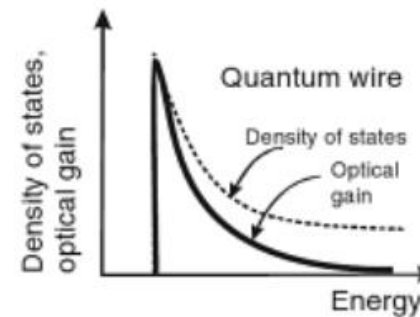
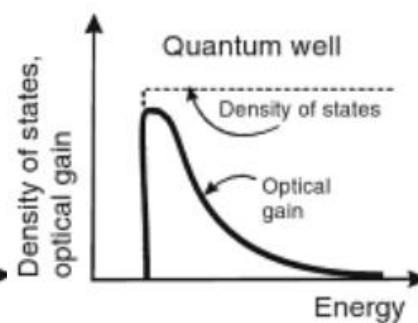
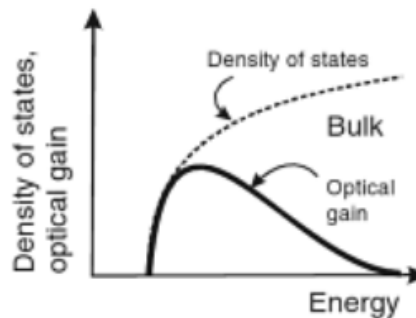
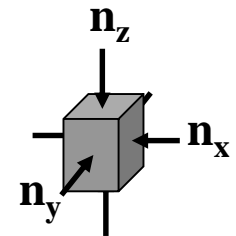
Quantum Wells



Quantum Wires



Quantum Dots

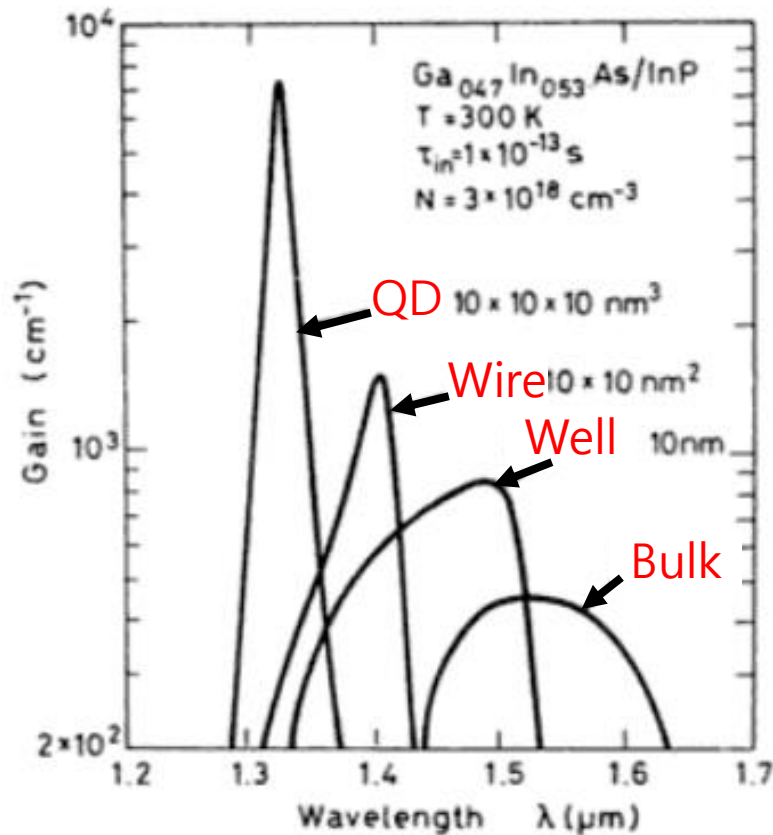


Continuous  
Energy

Discrete  
Energy

Structure	Bulk	Quantum wells	Quantum wires	Quantum Dots
Quantum confinement	none	1D	2D	3D
Free dimensions	3D	2D	1D	0D

# Quantum confined structures



Calculated material gain in Ga<sub>0.47</sub>In<sub>0.51</sub>As/InP system  
Same electron injection (N = 3 × 10<sup>18</sup> cm<sup>-3</sup>)

The **peak gain increases** on going from bulk to QD.  
However, **gain bandwidth decrease** from bulk to QD.

**Questions or comments?**