

# Dry etching

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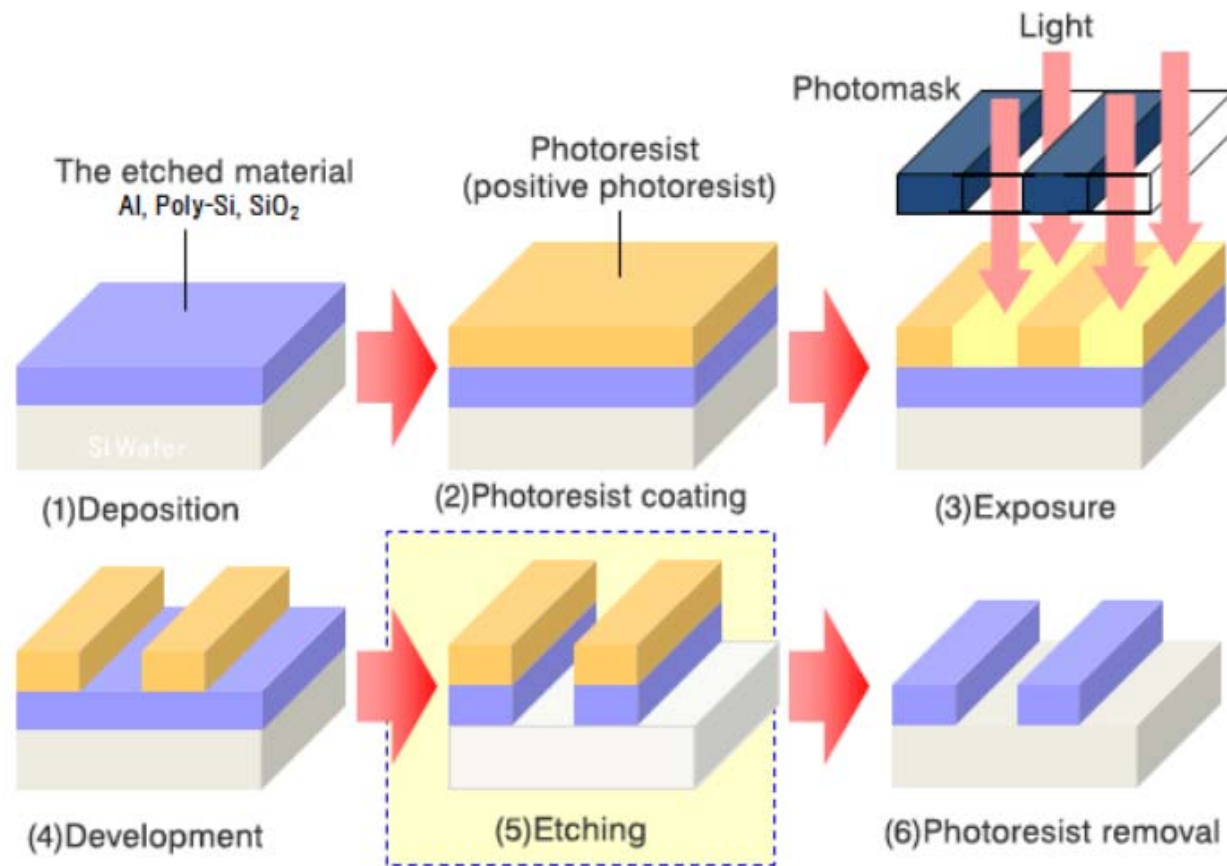
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  - Ion beam etching (IBE)
  - Plasma etching (PE)
  - Reactive ion etching (RIE)

# Introduction

**Etching** is the process that removes the material to create a design



# Introduction

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The terminology related to etching

- Etch rate
- Selectivity
- Etch profile
- Uniformity

# Introduction

- Etch rate

how fast material is removed in the etch process

$$\text{Etch rate} = \frac{\text{Thickness before etch} - \text{Thickness after etch}}{\text{etch time}}$$

Depends on

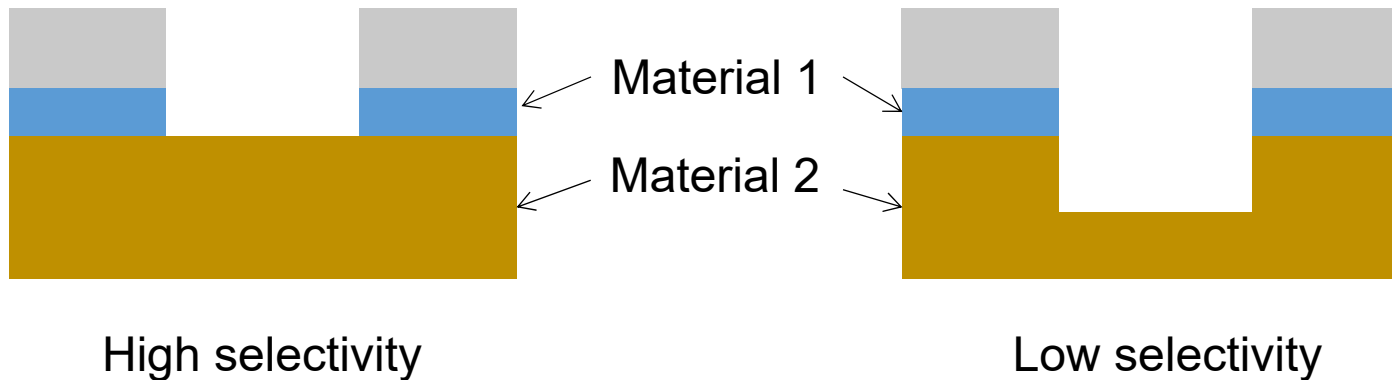
- Pressure
- Source power
- Bias voltage
- Wafer temperature

# Introduction

- Selectivity

Ratio of the etch rates between the different materials

$$\text{Selectivity} = \frac{\text{Etch rates of material 1}}{\text{Etch rates of material 2}}$$

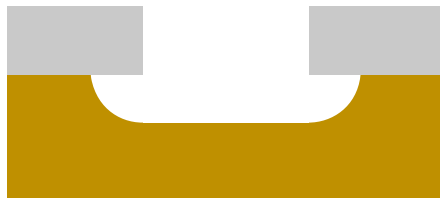


# Introduction

- Etch profile

Ratio of the etch rates between the horizontal and vertical wall

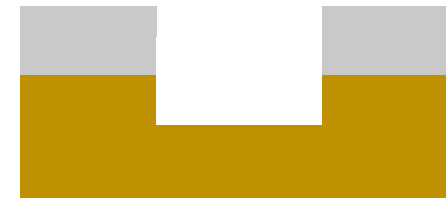
$$R_L = \frac{\text{Horizontal Etch rate}}{\text{Vertical Etch rate}}$$



Isotropy  
 $R_L = 1$



Anisotropy  
 $0 < R_L < 1$



Completely Anisotropy  
 $R_L = 0$

# Introduction

- Uniformity

Measuring wafer **thickness** before and after the etch process

$$\text{Uniformity} = \frac{\text{Max Etch rate} - \text{Min Etch rate}}{\text{Max Etch rate} + \text{Min Etch rate}} * 100 \%$$



Good uniformity



Bad uniformity



# Wet vs Dry etching

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- Dry etching

- Gas phase etchants in a plasma
- Chemical and physical (sputtering) process
- High resolution
- Both isotropic and anisotropic process

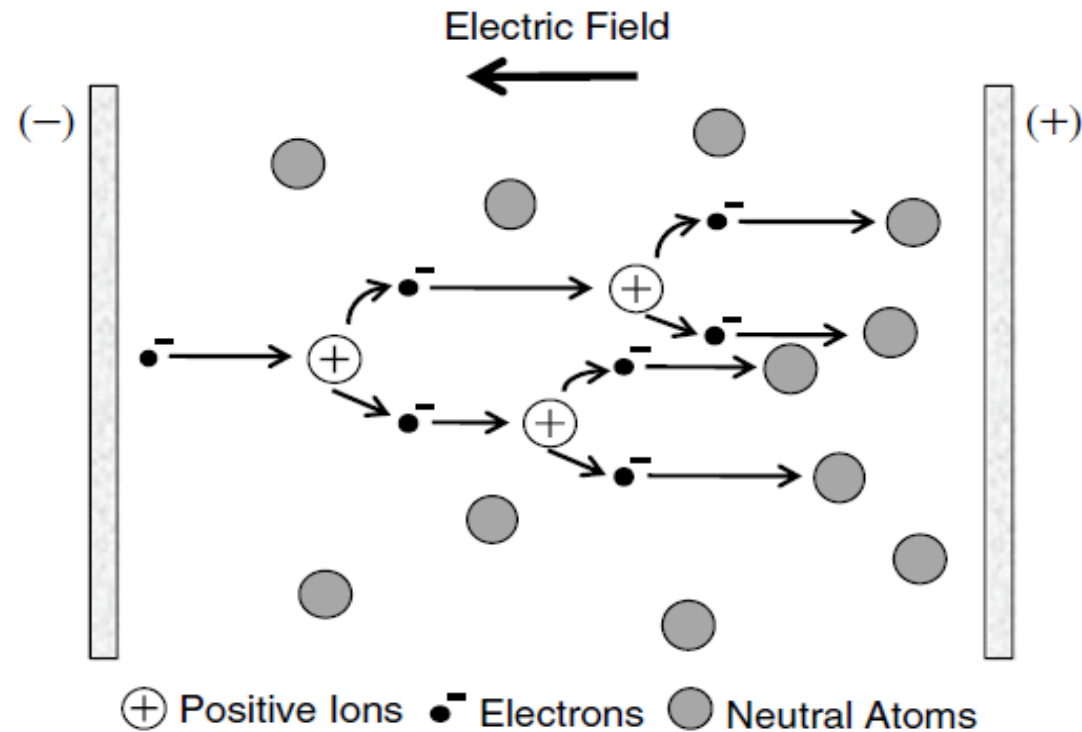
- Wet etching

- Liquid etchant
- Only chemical process
- Hard to control critical feature dimension

# Wet vs Dry etching

Parameter	Dry etching	Wet etching
Directionality	Highly with most materials	Almost poor
Typical Etch rate	Slow (0.1um/min)	Fast(1um/min)
Control of etch rate	Good	Difficult
Materials that can be etched	Only certain material	All
Equipment cost	Expensive	Inexpensive
Radiation damage	Can be severe	None
Production-line automation	Good	Poor
Environmental impact	Low	High
Cost chemicals	Low	High
CD control	Good	Poor

# Plasma



If the kinetic energy of an electrons is greater than the ionization energy, and making more electron in chamber, **that create plasma.**

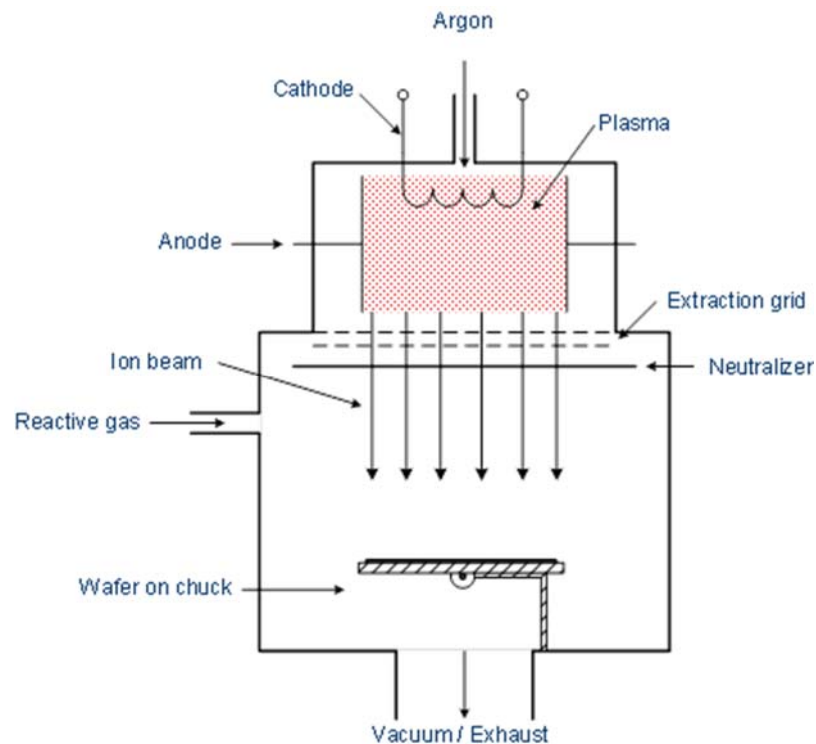
# Dry etching techniques

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- Types of dry etching processes
  - Ion beam etching (IBE)  
Physical etching
  - Plasma etching (PE)  
Chemical etching
  - Reactive ion etching (RIE)  
Physical and Chemical etching

# Dry etching techniques

- **Ion Beam Etching (IBE):** 'Physical' dry etching process

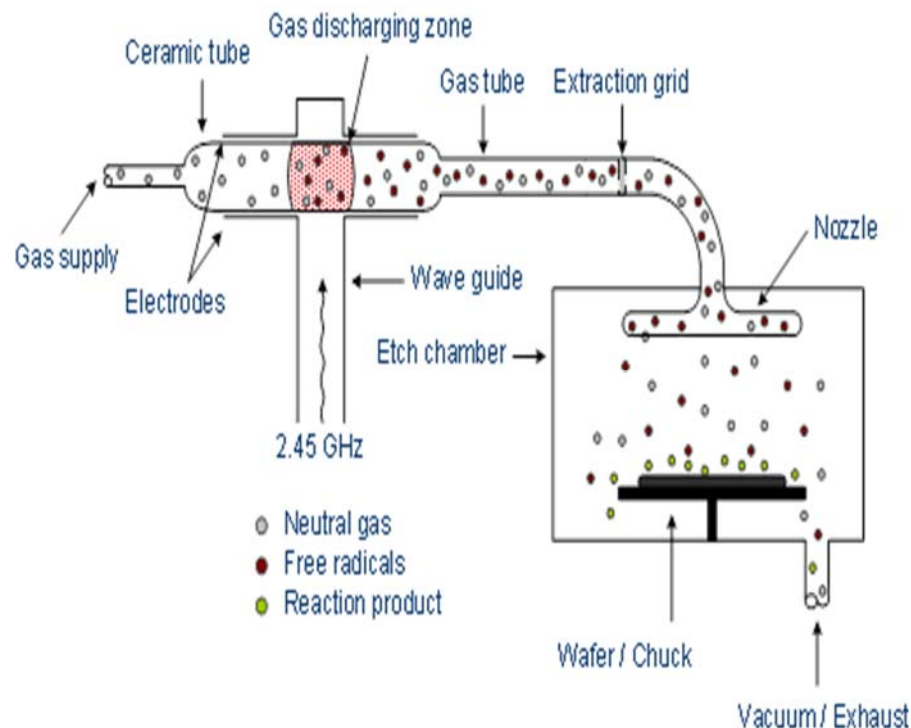
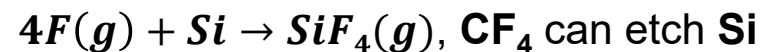
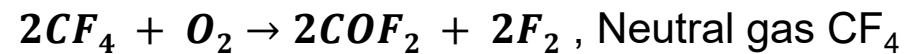


## Properties of IBE

- Ion beam energy: 1 – 3 keV
- Cathode: **emitting** electrons
- Grid: **accelerate ions** that pass through the grid to form the ion beam
- Neutralizer filament: introduce electrons to **balance the positively** charged ions.
- Operating pressure:  $10^{-6}$  -  $10^{-4}$  Torr

# Dry etching techniques

- **Plasma etching (PE):** 'Chemical' dry etching process

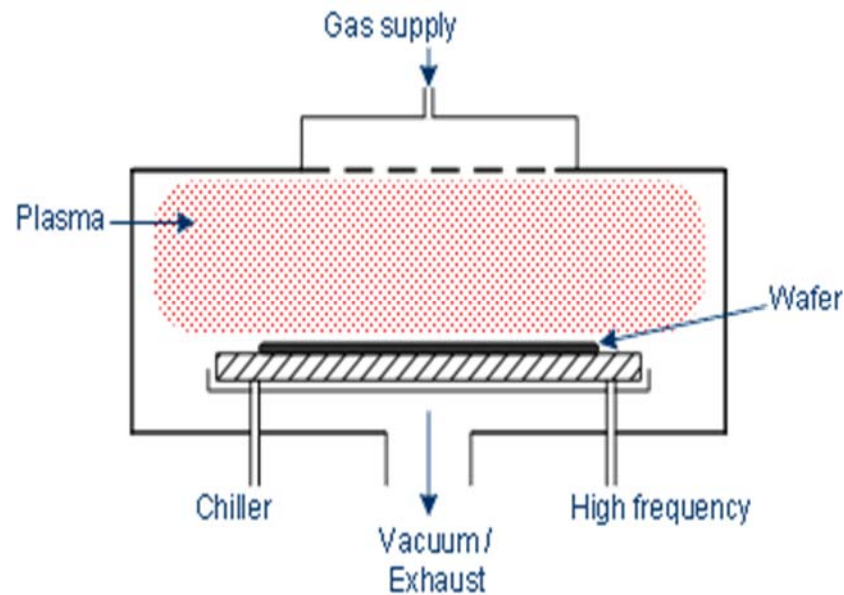
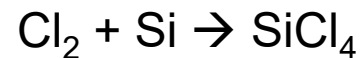


## Properties of PE

- Isotropic etching
- No damage by accelerated ions
- Operating pressure: 0.1-10mTorr

# Dry etching techniques

- **Reactive ion etching (RIE):** 'Chemical' and 'Physical' dry etching process



## Properties of RIE

- Isotropic and Anisotropic
- 13.56 MHz RF generator
- Operating pressure : 0.01 ~ 0.1 Torr

# Thank you

## Q&A



# Appendix (Wet vs dry etching)

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## 1. Wet Etch

- Liquid Etchant
- Chemical process Only
- Advantage:
  - Low Cost and easy to implement
  - High etching rate
  - Good selectivity for most material.
- Disadvantage:
  - Very hard to control critical feature Dimension
  - Eliminates Toxic fumes.

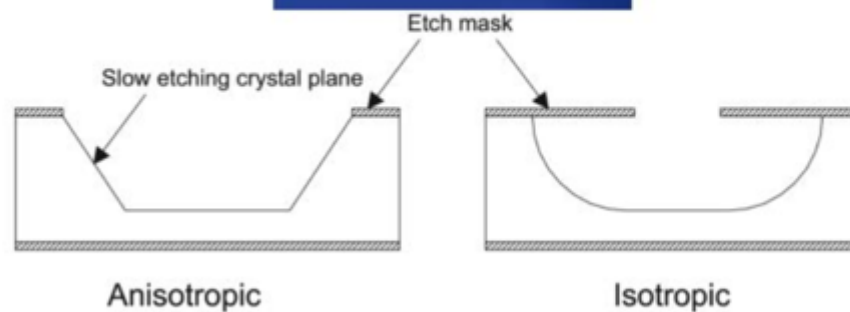
## 2. Dry etch

- Gas Phase etchants in a plasma
- Chemical and physical (sputtering) process
- Advantage:
  - Both isotropic and anisotropic process can be done.
  - High resolution and cleanliness
  - Better process control
  - Ease of automation.

# Appendix (Dry chemical etching)

## Dry chemical etching mechanisms : purely 'chemical'

- XeF<sub>2</sub> Gas Phase Etching (high pressure, chemical only)
  - no plasma (just pump)
  - 10  $\mu\text{m}/\text{min}$
  - no damage
  - isotropic
  - very selective (Si over Al, photoresist, oxide and nitride)
  - CMOS compatible

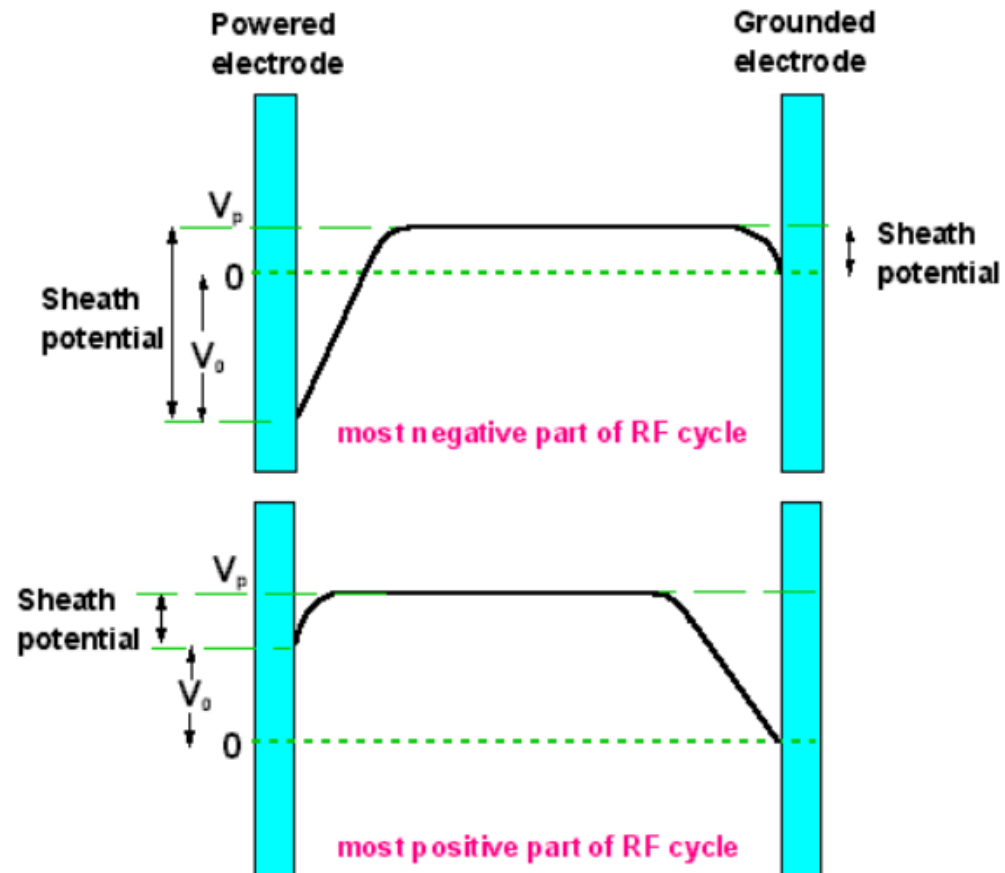


# Appendix (Plasma)

- ❑ At the start of a sustained gas breakdown a current starts flowing and the voltage drops to about 150 V.
- ❑ To sustain a plasma, a mechanism must exist to generate additional free electrons after the plasma generating ones have been captured at the anode.
- ❑ The additional electrons are formed by ions of sufficient energy striking the cathode (emitting secondary Auger electrons).
- ❑ This continuous generation provides a steady supply of electrons and a stable plasma.
- ❑ Plates too close: no ionizing collisions (not enough energy), too far too many inelastic collisions in which ions lose energy.

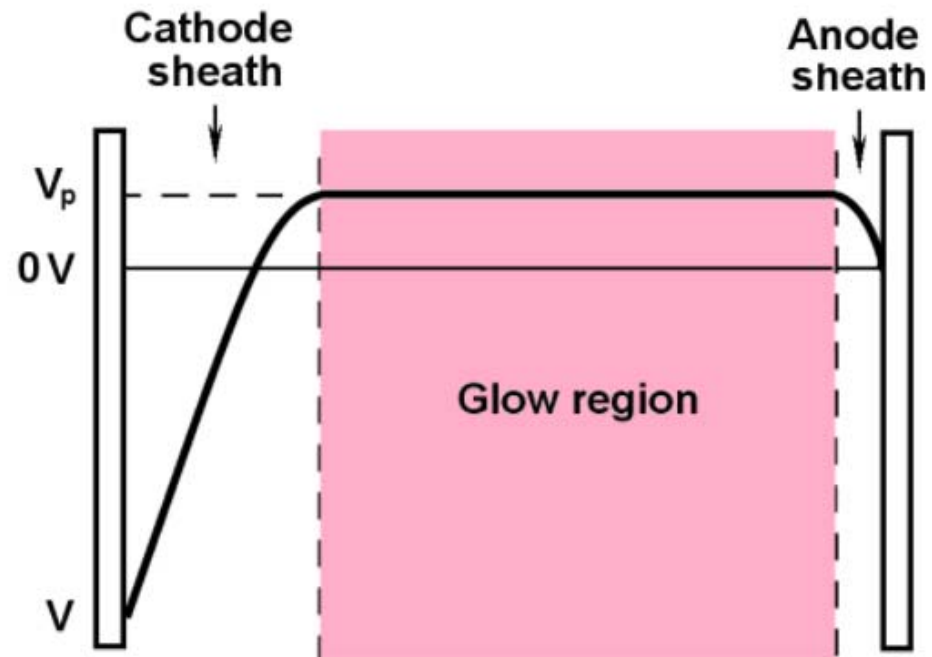
# Appendix (Plasma)

the relationship between the applied RF voltage,  $V_0$ , the plasma potential,  $V_p$ , and the sheath voltages developed at either electrode.



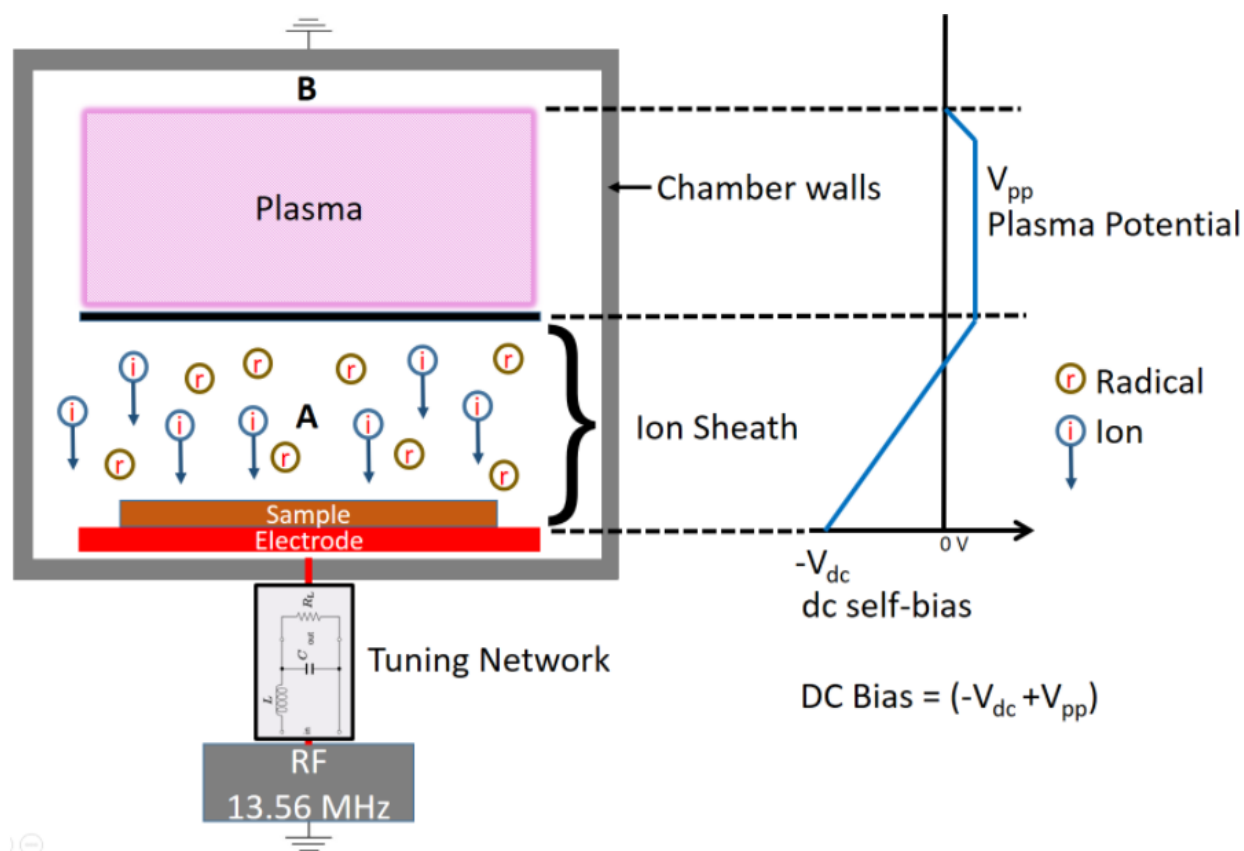
# Appendix (Plasma)

Typical potential distribution on a DC glow discharge, also known as DC plasma

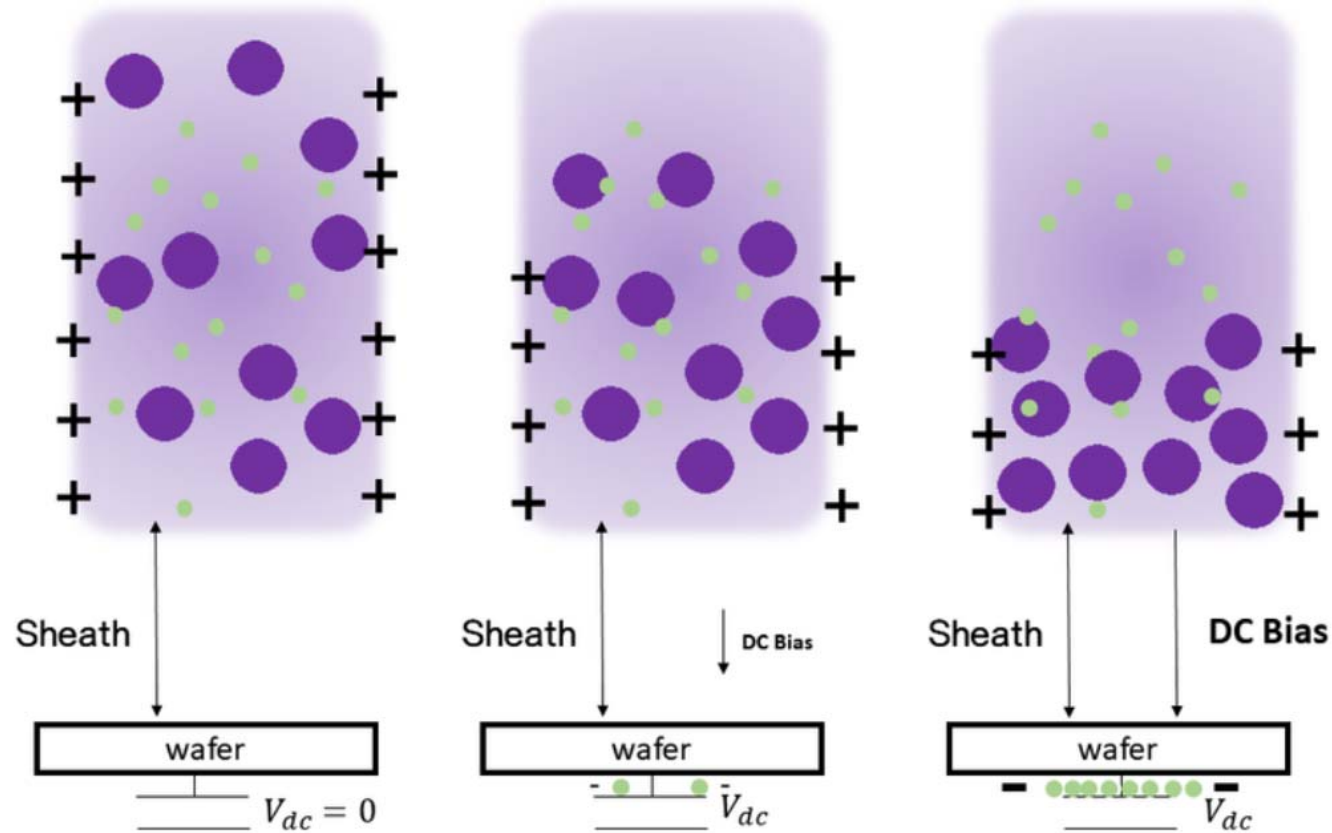


# Appendix (Plasma)

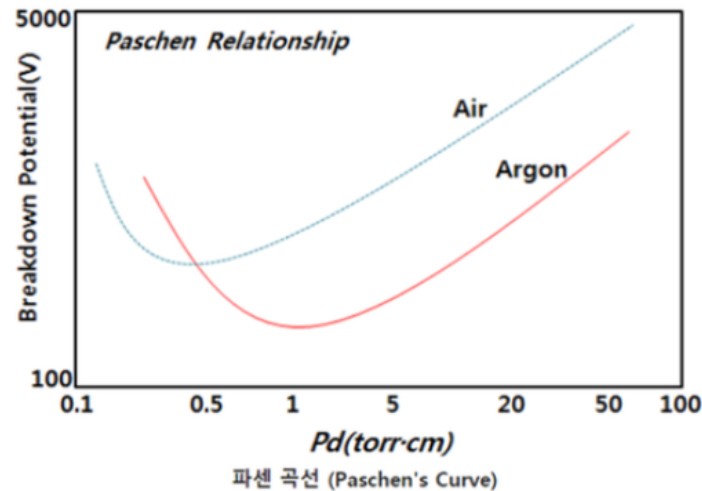
Plasma distribution (grounded chamber) and electrical potential(electrode) in a reactive ion etching process



# Appendix (blocking capacitor)



# Appendix



$$V_b = \frac{B \cdot pd}{A + \ln(pd)}$$

$A, B = \text{constants}$

## 거리(d) 일정한 상황

### -압력(P) 감소-

가속된 전자가 기체와 충돌 할 수 있는 확률이 작아 이온화가 어렵다.

### -압력(P) 증가-

평균자유행로가 짧아져서 충돌에너지가 낮게 때문에 이온화가 어렵다.

## 압력(P) 일정한 상황

### -가까운 거리(d)-

기체와 충돌 확률이 낮다.

### -먼 거리(d)-

전기장의 세기가 약해지므로 전자나 이온을 가속 시킬 수 있는 힘이 줄어들어 이온화되어도 이온들이 전극에 들어오는 확률이 낮아진다. 그래서 더 높은 전압 필요

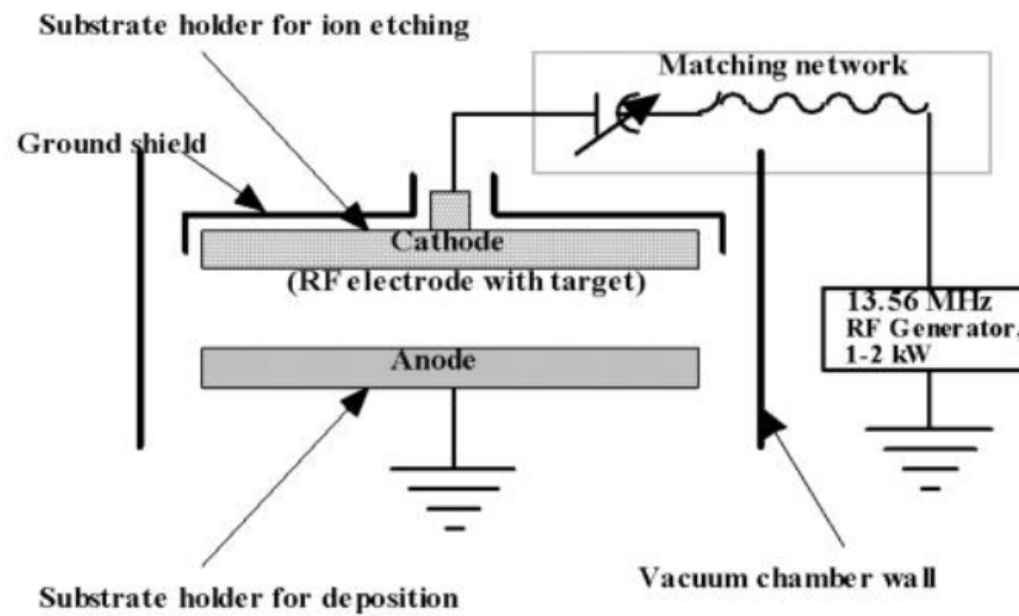
파셴곡선은 플라즈마를 생성할때 필요한 **Breakdown potential**을 나타냅니다.

압력과 거리에 따라서 필요한 에너지의 값이 달라지는 것을 볼 수 있습니다.



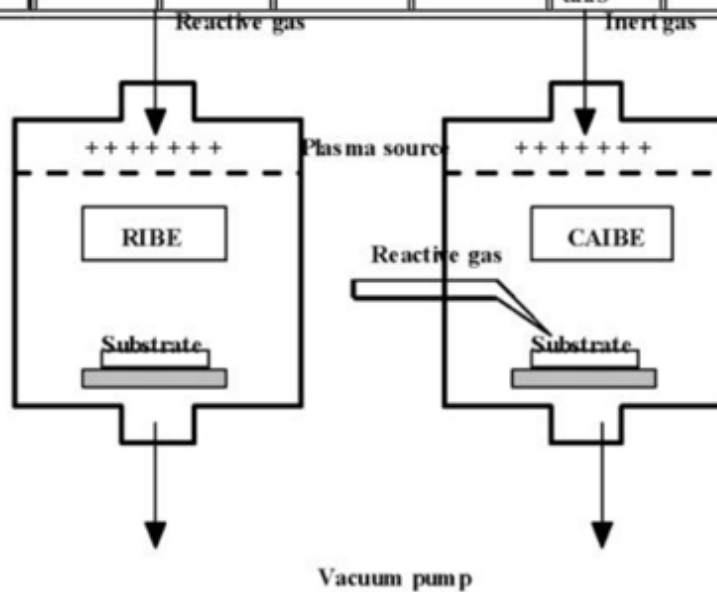
# Appendix (Plasma)

## Plasma in PECVD

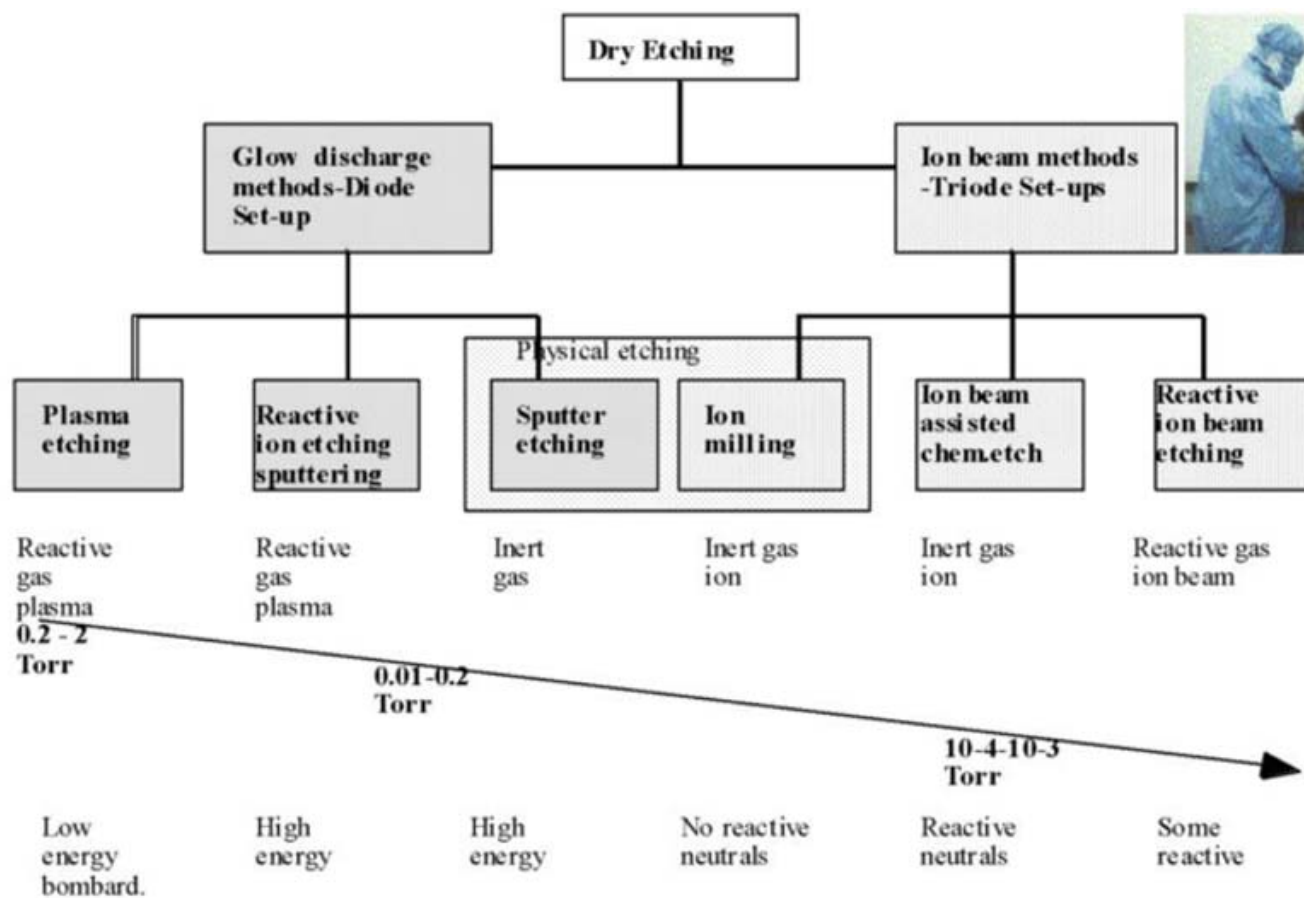


# Appendix (Dry etching techniques)

	CAIBE	RIBE	IBE	MIE	MERIE	RIE	Barrel Etching	PE
Pressure (Torr)	$\sim 10^{-4}$	$\sim 10^{-4}$	$\sim 10^{-4}$	$10^{-3}$ - $10^{-2}$	$10^{-3}$ - $10^{-2}$	$10^{-3}$ - $10^{-1}$	$10^{-1}$ - $10^0$	$10^{-1}$ - $10^1$
Etch Mechanism	chem/ phys	chem/ phys	phys	phys	chem/ phys	chem/ phys	chem	chem
Selectivity	good	good	poor	poor	good	good	excellent	good
Profile	anis or iso	anis	anis	anis	anis	iso or anis	iso	iso or anis



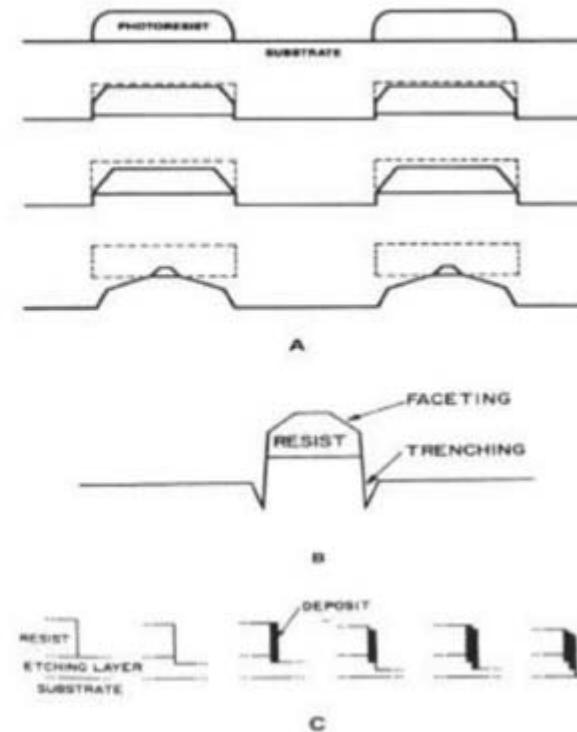
# Appendix



# Appendix (Physical etching : profiles)

## Etching profiles in physical etching

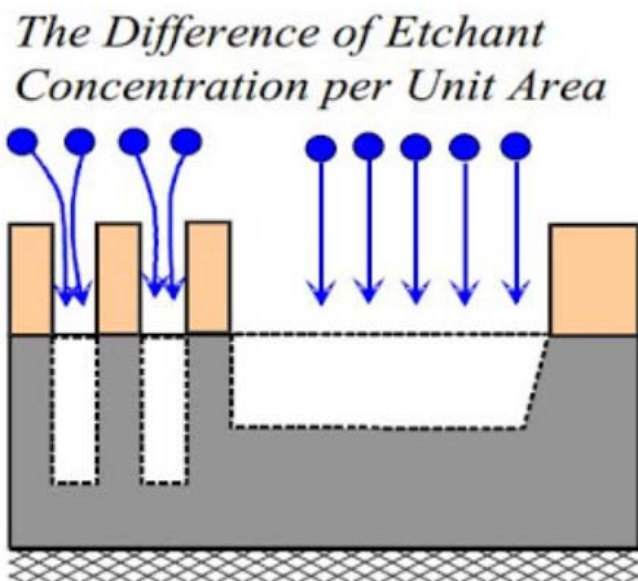
- Faceting: angle of preferential etching
- Ditching (trenching): sometimes caused by faceting
- Redeposition: rotational stage might reduce this effect.



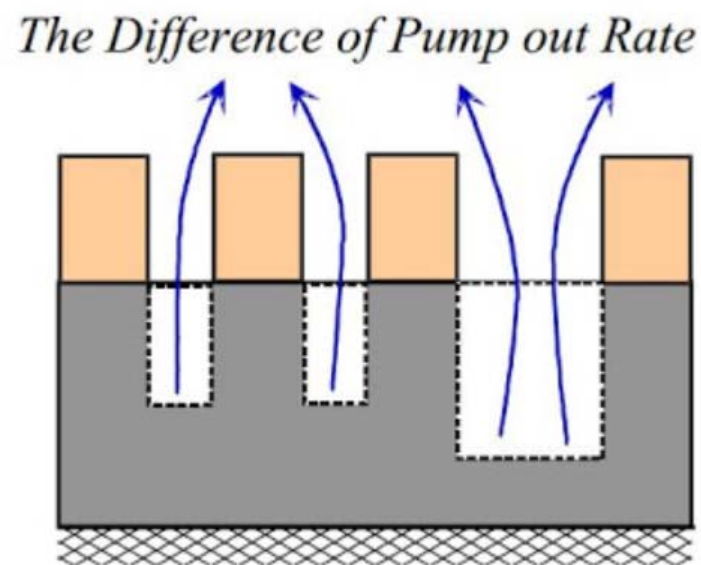
# Appendix (Loading effects)

## Loading effect

When the etch rate is dependent upon the amount of etchable surface **exposed** to the etchant



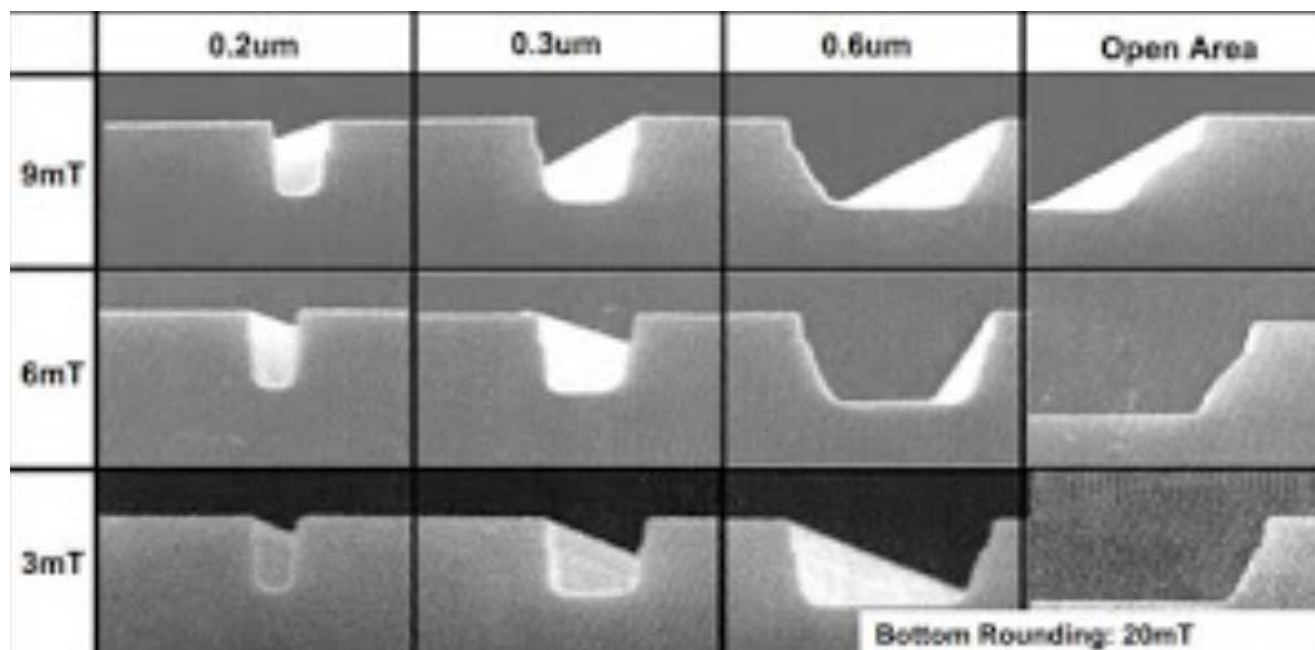
Macro Loading effect



Micro Loading effect

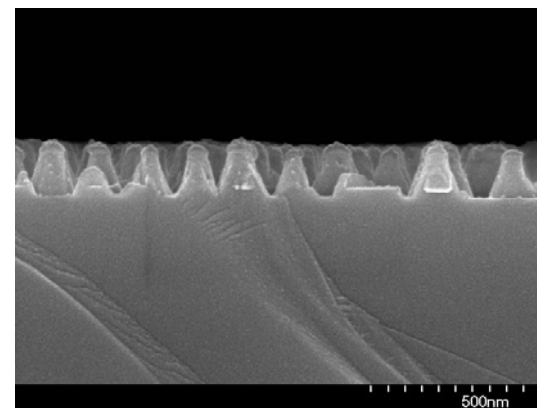
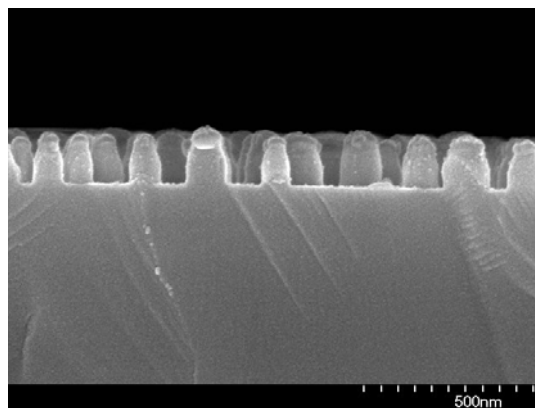
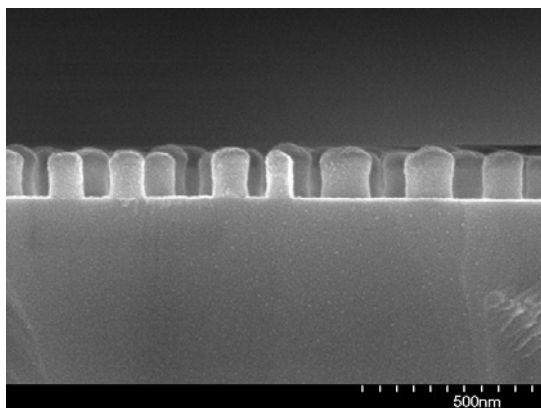
# Appendix (Loading effects)

## Loading effect profiles



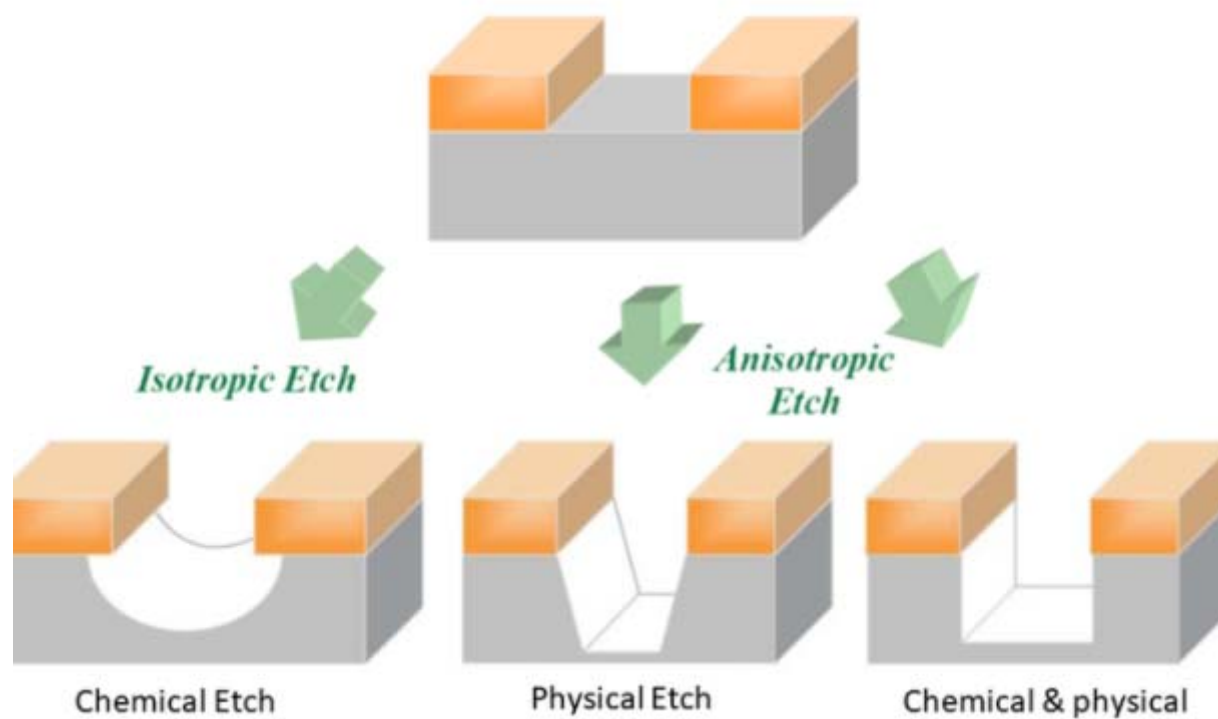
# Appendix (Over etching )

## Reactive ion etching for $\text{SiO}_2$



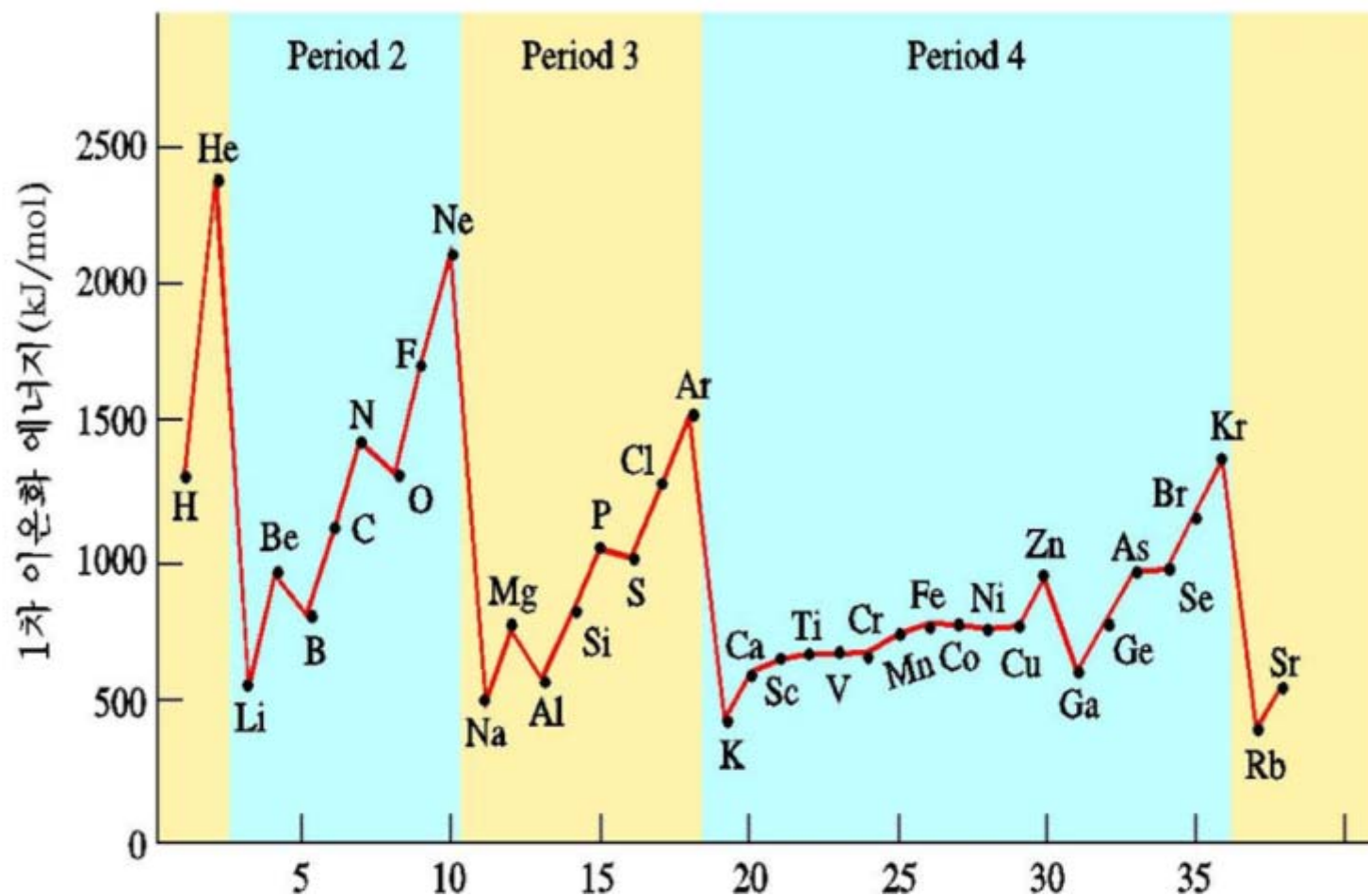
# Appendix (etching profiles)

## 'Chemical' & 'Physical' etching





# Appendix (Ionization energy)



# Appendix

## 플라즈마 내의 충돌 종류

### electrons

$e + A \rightarrow A^+ + 2e$	Ionization
$e + A \rightarrow A^* \rightarrow e + A + h\nu$	Excitation
$e + A^* \rightarrow A^+ + 2e$	Penning ionization
$e + A \rightarrow A + e$	Elastic scattering
$e + AB \rightarrow e + A + B$	Dissociation
$e + AB \rightarrow 2e + A^+ + B$	Dissociation ionization
$e + AB \rightarrow A^- + B$	Dissociation attachment
$e + A^+ + B \rightarrow A + B$	Recombination

### ions

$A^+ + B \rightarrow A + B^+$	Charge exchange
$A^+ + B \rightarrow A^+ + B$	Elastic scattering
$A^+ + B \rightarrow A^+ + B^+ + e$	Ionization
$A^+ + B \rightarrow A^+ + B^* \rightarrow A^+ + B + h\nu$	Excitation
$A^+ + e + B \rightarrow A + B$	Recombination
$A^+ + BC \rightarrow A^+ + B + C$	Dissociation
$A + BC \rightarrow C + AB$	Chemical reaction

# Appendix

## Typical RIE Gases

Material	Gas	Etching Rate (Å/min)	Mask	Selectivity
Si (a-Si)	1) CF <sub>4</sub> 2) SF <sub>6</sub> 3) BCl <sub>2</sub> + Cl <sub>2</sub>	~ 500	Resist Metal (Cr, Ni, Al)	~ 20:1 ~ 40:1
SiO <sub>2</sub>	1) CHF <sub>3</sub> + O <sub>2</sub> 2) CF <sub>4</sub> + H <sub>2</sub>	~ 200	Resist Metal (Cr, Ni, Al)	~ 10:1 ~ 30:1
Si <sub>3</sub> N <sub>4</sub>	1) CF <sub>4</sub> + O <sub>2</sub> (H <sub>2</sub> ) 2) CHF <sub>3</sub>	~ 100	Resist Metal (Cr, Ni, Al)	~ 10:1 ~ 20:1
GaAs	1) Cl <sub>2</sub> 2) Cl <sub>2</sub> + BCl <sub>3</sub>	~ 200	Si <sub>3</sub> N <sub>4</sub> Metal (Cr, Ni)	~ 10:1 ~ 20:1
InP	1) CH <sub>4</sub> /H <sub>2</sub>	~ 200	Si <sub>3</sub> N <sub>4</sub> Metal (Cr, Ni, Al)	~ 40:1
Al	1) Cl <sub>2</sub> 2) BCl <sub>3</sub> + Cl <sub>2</sub>	~ 300	Resist Si <sub>3</sub> N <sub>4</sub>	~ 10:1
Resist / Polymer	1) O <sub>2</sub>	~ 500	Si <sub>3</sub> N <sub>4</sub> Metal (Cr, Ni)	~ 50:1